

Senate & Academic Services

 8888 University Drive,
 Burnaby, BC
 Canada V5A 1S6

 TEL: 778.782.5350
 FAX: 778.782.5732

 joah@sfu.ca
<http://www.sfu.ca/senate/senate-committees/spcsab.html>
MEMORANDUM

ATTENTION Senate **DATE** December 2013
FROM Stephen T. Easton, Chair  **PAGES** 1/2
 Senate Policy Committee on
 Scholarships, Awards and Bursaries
RE: Annual Report (SPCSAB Reference TBA)

I am pleased to submit the Annual Report of the Senate Policy Committee on Scholarships, Awards and Bursaries (SPCSAB) for the fiscal year 2012-2013.

Overview

SPCSAB is the committee charged by Senate with setting policy for the administration of awards, student scholarships, bursaries and other forms of financial aid at SFU. This is primarily for internal awards. Three other Senate committees, namely SUAAC (Senate Undergraduate Awards Adjudication Committee), SGAAC (Senate Graduate Awards Adjudication Committee) and SCUH (Senate Committee on University Honors) adjudicate financial awards to students where adjudication is needed. Financial Aid and Awards, a unit within Student Services, processes bursary applications.

SPCSAB is not responsible for setting the amount of money available for scholarships, awards or bursaries. Included for information are the reports received by SPCSAB from both undergraduate (Appendix 1) and graduate studies (Appendix 2) indicating how funds were distributed.

Policy decisions by SPCSAB in the 2012-13 period are detailed in Table 1

Table1: Actions of SPCSAB

June 2012	University Funded Entrance Awards	Clarification of eligibility
June 2012	Aboriginal Community Engagement Award	Approval of Terms of Reference
June 2012	Aboriginal Community Engagement Award	Approval of change to the value of the award
October 2012	Open Undergraduate Scholarship	Revision of the terms of reference

January 2013	School of Contemporary Arts Scholarship	Revision to the disbursement process
January 2013	Bice Caple Award	Revision to the disbursement process and eligibility criteria
January 2013	Aboriginal Student Entrance Award	Revision to the eligibility criteria
February 2013	Graduate Student Research Award	Approval of the terms of reference
March 2013	Aboriginal Student Bursary Program	Revision of the eligibility criteria
March 2013	Open Scholarship	Revision of the eligibility criteria
March 2013	Open Scholarship	Revision of the eligibility criteria

Appendix 1 Graduate Studies – Fiscal Years 2012-2013

Appendix 2 Undergraduate Studies – Fiscal Years 2012-2013



**Financial Summary of Graduate Scholarships/ Bursaries/ Awards (SBA) Budget
Fiscal Year 2012/2013**

BUDGET

Budget Allocation for FY2012/2013	6,772,236.00
Add: UPF funding for FY2012/2013	450,000.00
Add: Funds received for Graduate Aboriginal Entrance Scholarship for FY2012-2013	33,000.00
Carryforward Balance from FY2011/2012	1,916,161.35
Total SBA Budget for FY2012/2013	9,171,397.35

EXPENSES (by academic term)

Name of Award	Value of Award	Summer 2012		Fall 2012		Spring 2013		Total for FY2012/2013	
		Number of Award Payments	Expensed in Term (\$)	Number of Award Payments	Expensed in Term (\$)	Number of Award Payment	Expensed in Term (\$)	Number of Award Recipient	Total Expensed in FY12/13 (\$)
C.D. Nelson Scholarships (1)	15000-18000	35	163,249.00	41	215,250.00	42	223,333.00	58	601,832.00
Graduate Fellowships	\$3,125 or \$6,250 (per term)	146	755,375.00	409	2,309,375.00	215	1,184,375.00	770	4,249,125.00
Graduate Fellowships (from UPF funds)	\$3,125 or \$6,250 (per term)	72	393,750.00	5	31,250.00	4	25,000.00	81	450,000.00
President's PhD Research Stipends	\$6,250 (per term)	60	375,000.00	28	175,000.00	35	218,750.00	123	768,750.00
Special Graduate Entrance Scholarships - General	1000-10000	-	-	80	287,065.00	11	42,400.00	91	329,465.00
Provost Scholarship (2)	5000 annually	60	99,151.00	63	103,409.00	62	101,796.00	90	304,356.00
Travel & Minor Research Awards (3)	varies (average \$500)	2	500.00	172	66,182.64	176	124,103.14	350	190,785.78
Graduate International Research Travel Awards	2650-6250	16	72,867.00	7	39,300.00	18	90,050.00	41	202,217.00
Graduate Aboriginal Entrance Scholarships (4)	15000-18000	-	-	2	11,000.00	2	11,000.00	2	22,000.00
Other Graduate Awards	varies	-	-	2	5,333.00	-	0.00	2	5,333.00
Totals		391	1,859,892.00	809	3,243,164.64	565	2,020,807.14	1,608	7,123,863.78

Total Expenses in FY2012/2013

7,123,863.78

BALANCE

Net Balance at Fiscal Year End (March 31, 2013)

2,047,533.57

Projected Expenditures for Summer 2013

2,000,000.00



OFFICE OF GRADUATE STUDIES AND POSTDOCTORAL FELLOWS
SIMON FRASER UNIVERSITY

**Financial Summary of Graduate Scholarships/ Bursaries/ Awards (SBA) Budget
Fiscal Year 2012/2013**

NOTES

(1) C.D. Nelson Scholarships are paid over three terms, normally starting in the Fall term. The figures reported include students receiving their first CD Nelson scholarship in previous fiscal years. The total number of award payments per term reflects the number of students that were paid at least one installment of their C.D. Nelson Scholarship in that term. In FY2012/2013, a total of 58 students were paid their scholarship installments over several terms.

(2) The Provost Scholarship is a top-up award for national scholarship recipients including those students who received one of the tri-council awards. This award may be paid over several terms and in multiple years. The total number of award recipients per term reflects the number of students that were paid at least one installment of their Provost Scholarship in that term. In FY2012/2013, a total of 90 students were paid their scholarship in installments over several terms.

(3) In Fiscal year 2012-2013, there were two options to receive funds for the Travel & Minor Research Awards program. Departments can either be responsible for paying the funds directly to the students and be reimbursed by Graduate Studies at the end of the academic year, or Graduate Studies can pay the funds directly to students via the Student Information System as an award. Thus, the total number of students receiving this award and the breakdown per term is only an estimate.

(4) Graduate Aboriginal Scholarships are paid over several terms. The total number of award payments per term reflects the number of students that received at least one installment of their award in that term. In FY2012-2013, only two students received the Graduate Aboriginal Scholarship.



OFFICE OF GRADUATE STUDIES
AND POSTDOCTORAL FELLOWS

Expense Summary of Award Funds Administered by Graduate Studies
Three-Year Overview: Fiscal Years 2010/2011 through 2012/2013

Name and Type of Award	FY2012/2013			FY2011/2012			FY2010/2011		
	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)
INTERNALLY-FUNDED AWARDS									
Graduate scholarships/fellowships/awards funded by Graduate SBA budget									
C.D. Nelson Scholarships ⁽¹⁾	15000-18000	58	601,832.00	15000-18000	48	393,583.00	18,000	29	235,000.00
Graduate Fellowships	3,125-6,250	770	4,249,125.00	3,125 or 6,250	814	4,576,242.04	3,125 or 6,250	671	3,828,125.00
President's PhD Scholarships ⁽²⁾	6,250	123	768,750.00	6,250	151	943,750.00	6,250	178	1,112,500.00
Special Graduate Entrance Scholarships - General	1000-10000	91	329,465.00	334-10000	89	358,668.00	2000-7000	35	138,583.00
Special Graduate Entrance Scholarships - Targeted	-	-	-	-	-	-	2000-7000	7	27,000.00
Provost Scholarship	5000 annually	90	304,356.00	5000 annually	76	249,086.00	4,500	24	75,200.00
Travel & Minor Research Awards ⁽³⁾	varies (avg 500)	350	190,785.78	varies (avg 500)	420	189,354.33	varies (avg 500)	355	163,973.13
Graduate International Research Travel Awards ⁽⁴⁾	2650-6250	41	202,217.00	2650-6250	19	99,275.00	-	-	-
Graduate Aboriginal Entrance Scholarships ⁽⁵⁾	15000-18000	2	22,000.00	-	-	-	-	-	-
Other Graduate Awards ⁽⁶⁾	varies	2	5,333.00	-	-	-	-	-	-
Graduate scholarships/fellowships/awards funded by Graduate SBA budget		1,527	6,673,863.78		1,617	6,809,958.37		1,299	5,580,381.13
Graduate scholarships/fellowships funded by Faculties/Schools									
Faculty of Applied Sciences Graduate Fellowships	3,125	1	3,125.00	3,125	20	62,500.00	3,125	14	46,875.00
Faculty of Business Administration Graduate Scholarships	2,500-4,000	28	114,000.00	2500-7500	24	110,000.00	5,000	16	82,667.00
Faculty of Communication, Art & Technology Graduate Fellowships	3,125-6250	7	21,875.00	3,125	9	28,125.00	3,125	9	28,125.00
Faculty of Education Graduate Scholarships	3,125-6250	6	25,000.00	3000-6250	7	24,750.00	3000-6250	6	24,750.00
Faculty of Health Sciences Graduate Scholarships	3,125	5	15,625.00	3,125	10	52,250.00	1250-6250	18	56,750.00
School of Computing Science Graduate Fellowships/Scholarships	3,000	8	24,000.00	-	-	-	-	-	-
School of Interactive Arts and Technology Graduate Fellowships	3,125	1	3,125.00	-	-	-	-	-	-
Graduate scholarships/fellowships funded by Faculties/Schools		56	206,750.00		70	277,625.00		63	239,167.00
Other internally-funded awards									
Graduate International Research Travel Awards ⁽⁴⁾	-	-	-	-	-	-	1000-6000	25	113,004.00
Additional Graduate Fellowships (from UPF funds)	3,125-6,250	81	450,000.00	3,125 or 6,250	116	650,000.00	-	-	-
Private Awards (supported by endowments & annual donations)	210-27,000	290	1,050,348.00	210-15000	231	759,781.00	410-8700	232	746,983.00
CTEF Graduate Fellowships (supported by Community Trust Endowment Fund)	6,250	17	106,250.00	6,250	12	81,250.00	6,250	15	93,750.00
VPR-Climate Justice Project Graduate Fellowships (funded by VP Research)	3,125-6,250	2	9,375.00	6,250	1	6,250.00	-	-	-
VPR- USRA Science ⁽⁷⁾	4,500	57	256,500.00	4,500	56	250,276.75	4,500	60	267,468.70
VPR-USRA Social Science and Humanities ⁽⁷⁾	2250-4500	49	136,884.50	2250-4500	29	90,084.38	2250-4500	11	39,262.50
Other internally-funded awards		496	2,009,357.50		445	1,837,642		343	1,260,468.20
TOTAL INTERNALLY-FUNDED AWARDS		2,079	8,889,971.28		2,132	8,925,225.50		1,705	7,080,016.33



OFFICE OF GRADUATE STUDIES
AND POSTDOCTORAL FELLOWS

Expense Summary of Award Funds Administered by Graduate Studies
Three-Year Overview: Fiscal Years 2010/2011 through 2012/2013

Name and Type of Award	FY2012/2013			FY2011/2012			FY2010/2011		
	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)	Value per Award (\$)	Number of Award Recipients	Total Expenses (\$)
EXTERNALLY-FUNDED AWARDS									
National scholarships funded by Federal funding agencies									
NSERC Graduate Scholarships	17,300-50,000	116	2,170,869.47	17,300-50,000	135	2,235,852.29	17,300-50,000	159	2,444,638.81
NSERC Industrial Post-Grad Scholarships (excludes industrial portion)	15,000	18	170,000.00	15,000	9	120,000.00	15,000	8	80,000.00
SSHRC Graduate Scholarships	17,500-50,000	201	3,511,783.95	17,500-50,000	216	3,319,679.82	17,500-50,000	210	3,361,899.04
CIHR Graduate Scholarships	17,500-50,000	36	660,626.72	17,500-50,000	32	586,606.58	17,500-50,000	46	688,592.92
Canada Graduate Scholarships-M. Smith Foreign Study Supplements	5,250-6,000	8	46,650.00	Up to 6,000	11	64,960.00	Up to 6,000	14	77,621.00
NSERC Undergraduate Student Research Awards	4,500	62	275,681.25	4,500	70	311,387.36	4,500	77	346,257.96
National scholarships funded by Federal funding agencies		441	6,835,611.39		473	6,638,486.05		514	6,999,009.73
Major scholarships funded by Provincial funding agencies									
MSFHR Graduate Trainee Awards	7,000-20,000	3	16,417.00	7,000-20,000	9	79,251.00	7,000-20,000	17	128,918.00
Pacific Century Graduate Scholarships	-	-	-	5000	2	10,000.00	10,000	46	435,000.00
Pacific Leaders Graduate Student Fellowships	20,000	1	13,334.00	20,000	1	20,000.00	20,000	1	6,666.00
Pacific Institute Climate Solutions Graduate Fellowships	12,000-18,000	11	135,000.00	12,000-18,000	7	82,334.00	12000-18000	7	93,999.00
Year of Science Scholarships	-	-	-	-	-	-	3125-6125	42	237,500.00
Major scholarships funded by Provincial funding agencies	-	15	164,751.00	-	19	191,585.00		113	902,083.00
Other externally-funded awards and grants									
NSERC IPGS Industrial Sponsorships (contributed by industrial partners)	6,000	18	67,058.06	6,000-7,500	9	44,730.85	6,000-7,500	6	29,942.38
Donor-Designated Awards	varies	48	327,536.00	250-22500	56	288,357.00	800-12500	34	311,378.91
Northern Scientific Training Program Grants	varies	4	11,580.90	varies	8	20,290.45	varies	12	36,239.03
Great Northern Way campus (MDM program)	1,250-15,224	31	191,204.00	5,000	4	20,000.00	-	-	-
NSERC & SSHRC GGSF Awards & Spending (e)	varies	1	337.50	varies	140	72,336.69	-	-	-
CIHR Health Professional Student Awards	4,251	4	17,004.00	4,251	3	12,753.00	4,251	6	25,506.00
Other externally-funded awards		106	614,720.46		220	458,467.99		58	403,066.32
TOTAL EXTERNALLY-FUNDED GRADUATE AWARDS		562	7,615,082.85		712	7,288,539.04		685	8,304,159.05
TOTAL AWARD FUNDS EXPENSED BY FISCAL YEAR		2,641	16,505,054.13		2,844	16,213,764.54		2,390	15,384,175.38



OFFICE OF GRADUATE STUDIES
AND POSTDOCTORAL FELLOWS

**Expense Summary of Award Funds Administered by Graduate Studies
Three-Year Overview: Fiscal Years 2010/2011 through 2012/2013**

NOTES

- (1) C.D. Nelson Scholarships are paid over three terms, normally starting in the Fall term. The figures reported may include recipients receiving their first award payment in previous years. The total number of award recipients per term reflects the number of students that were paid at least one installment of their C.D. Nelson Scholarship within the current fiscal year. Thus, in FY2012/2013, a total of 58 students were paid their scholarship in installments over several terms, and this number includes those students that were initially offered the award in previous years.
- (2) Formerly called the President's Research Stipend. This award was changed to the President's PhD Scholarship in Fall 2012.
- (3) In Fiscal year 2012-2013, there were two options to receive funds for the Travel & Minor Research Awards program. Departments can be responsible for paying the funds directly to the students and be reimbursed by Graduate Studies at the end of the academic year, or Graduate Studies can pay the funds directly to students via the Student Information System as an award. Thus, the total number of students receiving this award is only an estimate.
- (4) Formerly called Graduate International Scholarships. Name was changed to Graduate International Research Travel Awards effective May 2008. These awards are now funded out of the SBA budget starting in FY2011-2012.
- (5) The first offers for the Graduate Aboriginal Entrance Scholarships went out in Fall 2013. These are funded by the VP Academic office.
- (6) Other Graduate Awards include matching funds for the Minerva Foundation Award in FY2012-2013
- (7) The Vice President's Undergraduate Research Student Awards (VPR-USRA) for Science and Humanities were offered starting in FY 2010-2011
- (8) The NSERC & SSHRC GGSF expenses for FY2011-2012 primarily consists of additional travel and minor research awards as a one-time exception.



r

**Summary of Graduate Scholarships/Bursaries/Awards (SBA) Base Budget Allocations
Five-Year Overview: Fiscal Years 2009/2010 through 2013/2014**

FY2009/2010 Base Budget - approved by BoG	6,772,236
<i>Adjustments for FY2009/2010:</i>	
<i>No adjustment</i>	
<i>FY2009/2010 Graduate Students Unique headcount (based on IRP data)</i>	<i>5,685</i>
FY2010/2011 Base Budget - approved by BoG	6,772,236
<i>Adjustments for FY2010/2011:</i>	
<i>Add: Year of Science funding</i>	<i>240,000</i>
<i>FY2010/2011 Graduate Students Unique headcount (based on IRP data)</i>	<i>5,507</i>
FY2011/2012 Base Budget - approved by BoG	6,772,236
<i>Adjustments for FY2011/2012:</i>	
<i>Add: University Priority Funding</i>	<i>650,000</i>
<i>FY2011/2012 Graduate Students Unique headcount (based on IRP data)</i>	<i>5,513</i>
FY2012/2013 Base Budget - approved by BoG	6,772,236
<i>Adjustments for FY2012/2013:</i>	
<i>Add: University Priority Funding</i>	<i>450,000</i>
<i>FY2012/2013 Graduate Students Unique headcount (based on IRP data)</i>	<i>5,363</i>
FY2013/2014 Base Budget - approved by BoG	6,772,236
<i>Adjustments for FY2013/2014:</i>	
<i>Add: University Priority Funding</i>	<i>250,000</i>

Disbursement Summary of Funding Administered or Supported through Financial Aid and Awards
 Comparative Report: Fiscal Year 2012/2013 vs. 2011/2012

Type of Financial Aid Support	FY 2012/2013		FY 2011/2012	
	# of Awards Disbursed	Total Disbursements	# of Awards Disbursed	Total Disbursements
INTERNALLY MANAGED FINANCIAL AID AND AWARDS				
Entrance Scholarships (Undergraduate - Domestic - University funded)	1,650	\$ 3,558,150.00	1,532	\$ 3,312,975.00
Entrance Scholarships (Undergraduate - International - University funded)	248	\$ 696,500.00	373	\$ 1,051,750.00
Entrance Scholarships (Annual/Endowed)	110	\$ 253,975.00	126	\$ 248,425.00
Subtotal	2,008	\$ 4,508,625.00	2,031	\$ 4,613,150.00
Open Scholarship (Undergraduate)	2,093	\$ 1,588,960.00	2,110	\$ 1,610,000.00
In-Course Awards (University funded)	293	\$ 305,686.00	316	\$ 255,685.00
In-Course Awards (Annual/Endowed)	186	\$ 218,645.00	212	\$ 263,005.00
In-Course Scholarships (Annual/Endowed)	374	\$ 330,785.00	378	\$ 329,050.00
Subtotal	2,946	\$ 2,444,076.00	3,016	\$ 2,457,740.00
Bursary (Open - Undergraduate - Domestic - University funded)	1,848	\$ 1,937,591.00	2,152	\$ 2,111,314.00
Bursary (Open - Undergraduate - International - University funded)	1,068	\$ 606,217.00	885	\$ 539,507.00
Bursary (Open - Graduate - Domestic - University funded)	286	\$ 336,442.00	283	\$ 279,855.00
Bursary (Open - Graduate - International - University funded)	453	\$ 446,735.00	494	\$ 429,908.00
Bursary (Designated) ^a	155	\$ 331,892.00	122	\$ 287,398.00
Bursary (Credit Based Graduate Programs)	52	\$ 65,568.00	47	\$ 73,139.00
Bursary (Annual/Endowed - Undergraduate)	902	\$ 826,265.00	740	\$ 670,803.00
Bursary (Annual/Endowed - Graduate)	72	\$ 68,321.00	58	\$ 63,290.00
Subtotal	4,836	\$ 4,619,031.00	4,781	\$ 4,455,214.00
Athletics Awards (University base budget funding)	437	\$ 166,100.00	377	\$ 166,100.00
Athletic Awards (Additional institutional funding)		\$ 113,000.00		\$ 296,133.00
Athletic Awards (Externally Fund-Raised)		\$ 361,605.00		\$ -
Athletics Awards (Annual/Endowed)	384	\$ 484,767.00	357	\$ 463,150.00
Subtotal	821	\$ 1,125,472.00	734	\$ 925,383.00
Work-Study Program (Undergraduate)	394	\$ 526,485.00	479	\$ 631,723.00
Work-Study Program (Graduate)	38	\$ 47,813.00	34	\$ 42,286.00
Subtotal	432	\$ 574,298.00	513	\$ 674,009.00
TOTAL INTERNALLY MANAGED FINANCIAL AID AND AWARDS	11,043	\$ 13,271,502.00	11,075	\$ 13,125,496.00
EXTERNALLY MANAGED OR SUPPORTED FINANCIAL AID AND AWARDS				
StudentAid BC Loans and Grants ^b	28,828	\$ 49,358,128.00	27,475	\$ 47,608,561.00
Subtotal	28,828	\$ 49,358,128.00	27,475	\$ 47,608,561.00
Out of Province Loans and Grants	1,225	\$ 4,040,189.00	1,528	\$ 4,386,425.00
U.S. Loan Awards	357	\$ 1,323,290.00	451	\$ 1,394,914.00
Subtotal	1,582	\$ 5,363,479.00	1,979	\$ 5,781,339.00
Non-SFU Administered Scholarships ^c	218	\$ 357,921.00	233	\$ 448,680.00
Non-SFU Administered Awards ^c	37	\$ 57,110.00	9	\$ 13,706.00
Non-SFU Administered Bursaries ^c	64	\$ 64,007.00	71	\$ 56,408.00
Subtotal	319	\$ 479,038.00	313	\$ 518,794.00
Athletics Awards (B.C. Athletic Assistance Program)	60	\$ 59,637.00	54	\$ 62,950.00
TOTAL EXTERNALLY MANAGED OR SUPPORTED FINANCIAL AID AND AWARDS	30,789	\$ 55,260,262.00	29,821	\$ 53,971,644.00

Notes:

(a) Bursary - Designated - includes Field School, Exchange, International Co-op, and Aboriginal (Ugrad/Grad)

(b) StudentAid BC funding for full-time and part-time students including any federal and provincial loan funding along with possible grant funding. Number awarded includes same student receiving more than one loan in the year.

(c) Non-SFU administered financial aid and awards normally just requires proof of enrollment from institution. Funds are then disbursed to the student's SFU account.