



Office of Graduate Studies and Postdoctoral Fellows

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GradStudies

**MEMORANDUM**

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**ATTENTION**    Senate      **DATE**    5 September 2013  
**FROM**        Wade Parkhouse, Dean of Graduate      **No.**    GS2013.21  
                  Studies  
**RE:**            Revisions to:  
                  GGR 1.11 (including 1-3) Publication of Thesis

A handwritten signature in blue ink, appearing to read 'W. Parkhouse'.

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**GGR 1.11 (including 1-3) Publication of Thesis      [GS2013.21]**

At its meeting of 8 July 2013, SGSC reviewed and approved revisions to Graduate General Regulation (GGR) 1.11 (including 1-3) Publication of Thesis. SGSC is recommending the revised regulation to Senate to bring the GGR into line with current practice.

This gives rise to the following motion:

**Motion:**

That Senate approve the revisions to GGR 1.11 (including 1-3) Publication of Thesis

**Effective Spring 2014**

encl.



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**MEMORANDUM**

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<b>ATTENTION</b>	SGSC	<b>DATE</b>	14 June 2013
<b>FROM</b>	Peter Liljedahl, Associate Dean of Graduate Studies	<b>PAGES</b>	1/1
<b>RE:</b>	Revisions to GGR 1.10.4 Submission of the Thesis to the Library Revisions to GGR 1.11 (including sections 1-3) Publication of Thesis		

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Motion: Move that SGSC approve revisions to GGR 1.10.4 Submission of the Thesis to the Library and 1.11 (including sections 1- 3) Publication of Thesis.

**GGR 1.10.4** - This follows a request from SGSC Library representative, Nicole White. If approved, the Library will only accept digital copies of theses and move away from its current practice of accepting a combination of digital and print copies.

The Library is prepared to make this transition beginning Fall 2013.

**GGR 1.11 (including sections 1-3)** – This follows a request from SGSC Library representative, Nicole White, to update the language to reflect current practices associated with the publication of theses.

**FROM****GGR 1.11 Publication of Thesis**

When the thesis is submitted to the library, the student shall authorize the copying and publication of the thesis as follows.

**1.11.1 Partial Copyright License**

Except as noted in 1.11.3, the student shall sign a partial copyright license which grants to the University ~~the a non-exclusive worldwide, irrevocable, royalty-free license to reproduce, convert, publish, archive, communicate and distribute the thesis right to lend the thesis to users of the library, and to make partial or single copies for such users.~~ Multiple copying is not permitted without written permission from the author except that, if the author is unobtainable, the dean of graduate studies may give this permission.

**1.11.2 Reproduction**

Except as noted in 1.11.3, the student shall sign an agreement form authorizing Library and Archives Canada ~~the National Library of Canada~~ to reproduce the thesis and to sell microfilm copies on request.

**1.11.3 Postponement of Publication**

The results of research conducted at Simon Fraser University should be available freely to the public, and it is expected that theses will be placed in the institutional repository ~~library~~ immediately following final revisions. It is the responsibility of graduate students to ensure that this policy is communicated clearly to relevant individuals and organizations outside the university prior to the initiation of any research project.

~~A postponement will delay the inclusion of a thesis in the institutional repository thesis may be withheld from circulation and from copying for a period of 12 months from the date of defence of the thesis, in order to protect confidential commercial information, patentable material, pending application, or where immediate commercial publication is anticipated. No extensions to this time limit will be permitted. At the time of the thesis defence, a thesis withholding document requesting and authorizing such delay~~ Thesis Postponement of Publication Request form shall be signed by the student, the senior supervisor, and the dean of graduate studies. The thesis and all pertinent forms shall be submitted to the library along with the postponement document. ~~The official copies of the thesis and all pertinent forms shall be deposited in the submitted to the library along with the withholding postponement document.~~ A copy of the thesis shall not be sent to Library and Archives Canada ~~The National Library of Canada~~ during the restricted period, ~~but the abstract of the doctoral thesis shall be sent to Dissertation Abstracts International with the period of restriction duly noted.~~

In rare and exceptional circumstances a postponement of publication may be extended for an additional 12 month period. Requests for such extensions should be submitted 60 days prior to the expiration of the current postponement using the Thesis Postponement of Publication Request form. This form should be accompanied with documented evidence justifying the extension.

~~Under exceptional circumstances, portions of a thesis may be withheld from the reference copy of the thesis that is made available to faculty members and students (see 1.9.2 and 1.9.4). This procedure must be authorized by the dean of graduate studies well before the distribution of the thesis. The dean must ensure that only the most confidential material is withheld from the thesis, and that the overall content of the thesis is not lost.~~

#### **1.11.4 Publication of the Thesis by the Student**

None of the clauses above preclude the student from publishing the thesis in any form at any time.

### **TO**

#### **1.11 Publication of Thesis**

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A postponement will delay the inclusion of a thesis in the institutional repository and from copying for a period of 12 months from the date of defence of the thesis, in order to protect confidential commercial information, patentable material, pending application, or where immediate commercial publication is anticipated. At the time of the thesis defence, a Thesis Postponement of Publication Request form shall be signed by the student, the senior supervisor, and the dean of graduate studies. The thesis and all pertinent forms shall be submitted to the library along with the postponement document. The thesis and all pertinent forms shall be submitted to the library along with the postponement document. A copy of the thesis shall not be sent to Library and Archives Canada during the restricted period.

In rare and exceptional circumstances a postponement of publication may be extended for an additional 12 month period. Requests for such extensions should be submitted 60 days prior to the expiration of the current postponement using the Thesis Postponement of Publication Request form. This form should be accompanied with documented evidence justifying the extension.

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