

## Senate &amp; Academic Services

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**MEMORANDUM**

|                  |   |              |                   |
|------------------|---|--------------|-------------------|
| <b>ATTENTION</b> | Senate  | <b>DATE</b>  | December 19, 2011 |
| <b>FROM</b>      | Stephen T. Easton, Chair<br>Senate Committee on<br>Scholarships, Awards and Bursaries | <b>PAGES</b> | 1/2               |
| <b>RE:</b>       | Annual Report (SPCSAB Reference TBA)  |              |                   |

I am pleased to submit the Annual Report of the Senate Policy Committee on Scholarships, Awards and Bursaries (SPCSAB) for the fiscal year 2010-2011.

**Overview**

SPCSAB is the committee charged by Senate with setting policy for the administration of awards, student scholarships, bursaries and other forms of financial aid at SFU. It should be noted that this is primarily for internal awards. Three other Senate committees, namely SUAAC (Senate Undergraduate Awards Adjudication Committee), SGAAC (Senate Graduate Awards Adjudication Committee) and SCUH (Senate Committee on University Honors) adjudicate financial awards to students where adjudication is needed. Financial Assistance, a unit within Student Services, processes bursary applications.

For the 2010-11 fiscal year total financial aid and awards was \$71.7 up from \$69.2 million in 2009-2010 and \$59.1 million in 2008-09. Financial aid and awards managed internally rose from \$12.1 million to \$12.8 million, an increase of 6.1%. Externally supported financial aid for 2010-11 rose 4.2% from \$56.6 to \$59 million primarily due to an increase in funding from Student Aid BC. Of the internal funding, year over year undergraduate entrance scholarship funding rose by 8.3% from \$4 to \$4.3 million as the total number of awards rose to 1,944 from 1,125. International undergraduate entrance scholarships increased by 79% in number and 31% in value although comprising only 21% of the value of all entrance awards. Some 1,840 Open Undergraduate Scholarships (for which the required cumulative GPA was set at 3.67 (A-)) were awarded, an increase of less than 1% over 2009-10. Disbursements per recipient were \$1,086 up from \$770 per recipient in 2009-2010. The total number of bursaries awarded rose by 25% between 2009-10 and 2010-11. At the same time the value of all bursaries awarded (including all of graduate and undergraduate) declined by 10%. The value of athletic awards rose by 17% year over year, and Work Study grants to undergraduates increased by 25%.

Total funding for SFU graduate students in 2010-11 from internal and external sources was \$15.4 million up from \$14.9 million in 2009-10. Total internally funded graduate awards fell 5 percent from \$7.3 million in 2009-10 to just under \$7 million in 2010-11. While graduate scholarships/fellowships provided by faculties and schools at SFU increased by over \$108,000, and various other internally funded awards rose by \$313,000. There was a fall of \$774,000 in the Graduate scholarships/fellowships/awards budget most of which was attributed to the elimination of the (targeted) Special Graduate Entrance Scholarships, and to a lesser extent in the Graduate Fellowships and C.D. Nelson Scholarships. A portion of this decrease is attributed to the timing of the Year of Science money. Additionally, this is a fiscal year report whereas Graduate Awards are distributed on an academic year basis. The summer 2011 award distribution, increased by more than \$500,000 year over year. As noted in last year's Annual Report, support from external sources was affected by the elimination of the Pacific Century Graduate Scholarship Program, and Pacific Leaders Scholarships (\$-670,000) as well as decreases in NSERC PGS and MSFHR trainee program support. Of the current Graduate SBA funding, 68.6 percent was awarded as Graduate Fellowships, 20 percent for President's Ph.D. Research Stipends, 3 % for Special Graduate Entrance Scholarships, and 4 percent for the C.D. Nelson Entrance Scholarships. Travel and other minor grants made up the remainder (4.3%).

## **Other Items of Interest**

### Scholarships and Awards for Entering Undergraduate Students

- The fifty one Ken Caple College Transfer Entrance Scholarship Award had a higher acceptance rate moving from 53% in Spring 2010 to 68% in Spring 2011.
- The number of scholarships offered and disbursed in Fall 2010 increased by 3% over Fall 2009
- The acceptance rate for the Gordon Shrum BC Secondary School Entrance Scholarship for Fall 2010 was stable at about 75%.

### Scholarships and Awards for Continuing Undergraduate Students

- For the SFU Open Scholarship the cumulative grade point average (CGPA) is set at a fixed value of 3.67
- The value per unit was increased in the Fall 2010 and Spring 2011 terms based on additional funding that was received from the University in Fall 2010 (approximately \$500,000).

### Bursary Program for Undergraduate and Graduate Students

- The regulation that domestic students must have government student assistance was removed as an eligibility requirement from the Bursary program as of Summer 2010. It had been in effect since Fall 2007.
- The number of applications received by Financial Aid and Awards for bursary funding increased by 1,374 or approximately 19% from the previous year. This may in part be attributed to the removal of the government student assistance requirement which opened up this opportunity to a much larger group of students.

### New Awards by the Vice-President Research

- Funding for Undergraduate Student Research Awards fell by 10, or 11.5%, in 2010-2011 compared to the previous year.
- Funding by the Vice-President Research for 60 Undergraduate Student Research Awards in Science and 11 Undergraduate Student Research Awards in Social Science and the Humanities was introduced in 2010-2011.



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## **Registrar & Enrollment Services – Financial Aid and Awards**

### **Report to the Senate Policy Committee for Scholarships, Awards, and Bursaries**

#### **Undergraduate Student Scholarships & Awards, Undergraduate and Graduate Student Bursaries, and Government Student Loan Funding**

**2010-2011**

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| i           | Summary of Disbursements for Fiscal Year 2010-2011 |
| 1-2         | Items of Interest for 2010-11                      |

**Disbursement Summary of Funding Administered or Supported through Financial Aid and Awards  
 Comparative Report: Fiscal Year 2010/2011 vs. 2009/2010**

| Type of Financial Aid Support   | FY 2010/2011          |                         | FY 2009/2010          |                         |
|---|-----------------------|-------------------------|-----------------------|-------------------------|
|   | # of Awards Disbursed | Total Disbursements     | # of Awards Disbursed | Total Disbursements     |
| <b>INTERNALLY MANAGED FINANCIAL AID AND AWARDS</b>                            |                       |                         |                       |                         |
| Entrance Scholarships (Undergraduate - Domestic - University funded)          | 1557 <sup>a, b</sup>  | \$ 3,279,125.00         | 897 <sup>a, b</sup>   | \$ 3,172,625.00         |
| Entrance Scholarships (Undergraduate - International - University funded)     | 326                   | \$ 916,500.00           | 182                   | \$ 700,750.00           |
| Entrance Scholarships (Annual/Endowed)  | 61                    | \$ 142,500.00           | 46                    | \$ 130,300.00           |
| <b>Subtotal</b>   | <b>1,944</b>          | <b>\$ 4,338,125.00</b>  | <b>1,125</b>          | <b>\$ 4,003,675.00</b>  |
| Open Scholarship (Undergraduate)  | 1,840                 | \$ 1,998,290.00         | 1,822                 | \$ 1,404,480.00         |
| In-Course Awards (University funded)  | 293                   | \$ 194,044.00           | 282                   | \$ 215,710.00           |
| In-Course Awards (Annual/Endowed)   | 205                   | \$ 177,655.00           | 208                   | \$ 177,075.00           |
| In-Course Scholarships (Annual/Endowed)                                       | 346                   | \$ 297,564.00           | 326                   | \$ 288,559.00           |
| <b>Subtotal</b>   | <b>2,684</b>          | <b>\$ 2,667,553.00</b>  | <b>2,638</b>          | <b>\$ 2,085,824.00</b>  |
| Bursary (Open - Undergraduate - Domestic - University funded) <sup>c</sup>    | 3,035                 | \$ 1,977,282.00         | 2,218                 | \$ 2,238,965.00         |
| Bursary (Open - Undergraduate - International - University funded)            | 722                   | \$ 566,184.00           | 644                   | \$ 607,327.00           |
| Bursary (Open - Graduate - Domestic - University funded)                      | 278                   | \$ 235,419.00           | 216                   | \$ 256,474.00           |
| Bursary (Open - Graduate - International - University funded)                 | 527                   | \$ 438,342.00           | 500                   | \$ 641,820.00           |
| Bursary (Designated) <sup>d</sup>   | 147                   | \$ 351,269.00           | 121                   | \$ 262,637.00           |
| Bursary (Credit Based Graduate Programs)                                      | 47                    | \$ 32,593.00            | 26                    | \$ 30,631.00            |
| Bursary (Annual/Endowed - Undergraduate)                                      | 710                   | \$ 599,215.00           | 660                   | \$ 616,760.00           |
| Bursary (Annual/Endowed - Graduate)   | 72                    | \$ 69,034.00            | 43                    | \$ 49,598.00            |
| <b>Subtotal</b>   | <b>5,538</b>          | <b>\$ 4,269,338.00</b>  | <b>4,428</b>          | <b>\$ 4,704,212.00</b>  |
| Athletics Awards (University funded)  | 104                   | \$ 117,010.00           | 76                    | \$ 64,000.00            |
| Athletics Awards (Annual/Endowed)   | 352                   | \$ 465,109.00           | 356                   | \$ 400,085.00           |
| Athletics Awards (Fund-Raised)  | 0                     | \$ -                    | 1                     | \$ 1,200.00             |
| Recreation Awards (Leadership Awards and Promotion Awards)                    | 172                   | \$ 187,940.00           | 168                   | \$ 192,353.00           |
| <b>Subtotal</b>   | <b>628</b>            | <b>\$ 770,059.00</b>    | <b>601</b>            | <b>\$ 657,638.00</b>    |
| Work-Study Program (Undergraduate)  | 481                   | \$ 596,043.00           | 420                   | \$ 475,280.00           |
| Work-Study Program (Graduate)   | 55                    | \$ 74,181.00            | 60                    | \$ 70,970.00            |
| Emergency Loans (Undergraduate/Graduate) <sup>e</sup>                         | 42                    | \$ 48,871.00            | 41                    | \$ 32,175.00            |
| <b>Subtotal</b>   | <b>578</b>            | <b>\$ 719,095.00</b>    | <b>521</b>            | <b>\$ 578,425.00</b>    |
| <b>TOTAL INTERNALLY MANAGED FINANCIAL AID AND AWARDS</b>                      | <b>20,809</b>         | <b>\$ 12,764,170.00</b> | <b>17,208</b>         | <b>\$ 12,029,774.00</b> |
| <b>EXTERNALLY MANAGED OR SUPPORTED FINANCIAL AID AND AWARDS</b>               |                       |                         |                       |                         |
| StudentAid BC (Undergraduate - Full-time) <sup>f</sup>                        | 29,565                | \$ 49,994,436.00        | 26,981                | \$ 47,737,141.00        |
| StudentAid BC (Graduate - Full-time)  | 1,088                 | \$ 2,480,256.00         | 1,126                 | \$ 2,476,500.00         |
| StudentAid BC (Undergraduate-Part-Time Studies)                               | 124                   | \$ 122,053.00           | 85                    | \$ 88,147.00            |
| StudentAid BC (Canada Study Grants for High Need Part-Time Students)          | 0                     | \$ -                    | 94                    | \$ 109,476.00           |
| StudentAid BC (Canada Student Grant for Part-Time Students)                   | 197                   | \$ 332,379.00           | 118                   | \$ 222,032.00           |
| StudentAid BC (Canada Study Grants for Female Doctoral Students)              | 0                     | \$ -                    | 2                     | \$ 2,330.00             |
| StudentAid BC (Canada Access Grants for Students with Permanent Disabilities) | 0                     | \$ -                    | 28                    | \$ 46,981.00            |
| StudentAid BC (Canada Student Grants for Persons with Permanent Disabilities) | 3                     | \$ 4,000.00             | 2                     | \$ 4,000.00             |
| <b>Subtotal</b>   | <b>30,977</b>         | <b>\$ 52,933,124.00</b> | <b>28,436</b>         | <b>\$ 50,686,607.00</b> |
| Out of Province Student Loan Awards (Undergraduate)                           | 1,160                 | \$ 3,188,980.00         | 1,329                 | \$ 3,230,063.00         |
| Out of Province Student Loan Awards (Graduate)                                | 228                   | \$ 956,196.00           | 254                   | \$ 1,332,130.00         |
| U.S. Loan Awards (Undergraduate)  | 238                   | \$ 659,018.00           | 92                    | \$ 234,350.00           |
| U.S. Loan Awards (Graduate)   | 203                   | \$ 671,419.00           | 134                   | \$ 411,639.00           |
| <b>Subtotal</b>   | <b>1,829</b>          | <b>\$ 5,475,613.00</b>  | <b>1,809</b>          | <b>\$ 5,208,182.00</b>  |
| Non-SFU Administered Scholarships (Undergraduate) <sup>g</sup>                | 237                   | \$ 450,964.00           | 217                   | \$ 420,011.00           |
| Non-SFU Administered Scholarships (Graduate) <sup>g</sup>                     | 2                     | \$ 1,750.00             | 8                     | \$ 10,497.00            |
| Non-SFU Administered Awards (Undergraduate) <sup>g</sup>                      | 9                     | \$ 12,331.00            | 46                    | \$ 136,238.00           |
| Non-SFU Administered Awards (Graduate) <sup>g</sup>                           | 0                     | \$ -                    | 6                     | \$ 16,692.00            |
| Non-SFU Administered Bursaries (Undergraduate) <sup>g</sup>                   | 56                    | \$ 42,471.00            | 52                    | \$ 43,793.00            |
| Non-SFU Administered Bursaries (Graduate) <sup>f</sup>                        | 6                     | \$ 4,500.00             | 1                     | \$ 1,000.00             |
| <b>Subtotal</b>   | <b>310</b>            | <b>\$ 512,016.00</b>    | <b>330</b>            | <b>\$ 628,231.00</b>    |
| Athletics Awards (B.C. Athletic Assistance Program)                           | 66                    | \$ 61,526.00            | 55                    | \$ 66,276.00            |
| <b>TOTAL EXTERNALLY MANAGED OR SUPPORTED FINANCIAL AID AND AWARDS</b>         | <b>33,182</b>         | <b>\$ 58,982,279.00</b> | <b>30,630</b>         | <b>\$ 56,589,296.00</b> |

**Notes:**

- (a) Number of awards disbursed for entrance scholarships only includes new awards offered in fiscal year 2010/2011.  
 (b) Total entrance scholarship disbursement figures include new scholarship offers as well as scholarship renewals for continuing students  
 (c) Please note that an error provided in the value with the Bursary Open Undergraduate - University funded) originally in 2009/10 report (\$2,856,880). The correct value (\$2,238,965) is indicated above for 2009/10.  
 (d) Bursary - Designated - includes Field School, Exchange, International Co-op, and Aboriginal (Ugrad/Grad)  
 (e) Emergency Loans - temporary funding that the student must pay back within 60 days  
 (f) StudentAid BC funding for full-time students include both federal and provincial loans along with possible grant funding. Number awarded includes same student receiving more than one loan in the year.  
 (g) Non-SFU administered financial aid and awards normally just requires proof of enrollment from institution. Funds are then disbursed to student's account.

**Enrollment Services – Financial Aid and Awards**  
**Items of Interest**  
**Report to the Senate Policy Committee on Scholarships, Awards and Bursaries**  
**2010-2011 (Summer 2010 to Spring 2011)**

**Scholarships and Awards for Entering Undergraduate Students**

- The Ken Caple College Transfer Entrance Scholarship Award had a higher acceptance rate moving from 53% in Spring 2010 to 68% in Spring 2011.
- The number of scholarships offered and disbursed in Fall 2010 increased by 3% over Fall 2009; the acceptance rate (offers to disbursed) was steady at about 29%.
- The acceptance rate for the Gordon Shrum BC Secondary School Entrance Scholarship for Fall 2010 was stable at about 75% as compared the previous year.
- Students receive scholarships/awards ranging from \$7,000 to \$40,000, disbursed in four to eight installments while at SFU. The average renewal rate for these students was 93% for the Spring 2011 term. These students need to achieve a 3.50 or higher CGPA and be registered in 12 units;

**Scholarships and Awards for Continuing Undergraduate Students**

*Open Scholarships*

- Cumulative grade point average (CGPA) is set at a fixed value of 3.67
- Overall number of awards given out to students increased very slightly by 1% over the previous year
- The value per unit was increased in the Fall 2010 and Spring 2011 terms based on additional funding that was received from the University in Fall 2010 (approximately \$500,000).

*Private Scholarships and Awards (Endowed and Annual)*

- Two new annual awards and one new annual scholarship established this year
- Undergraduate in-course scholarships and awards are available to international students, unless otherwise specified in the terms of reference. In 2010/11, international students were awarded \$50,890 in endowed/annual scholarships, \$10,950 in endowed/annual awards and \$17,750 in University funded awards.

**Bursary Program for Undergraduate and Graduate Students**

- The regulation that domestic students must have government student assistance was removed as an eligibility requirement from the Bursary program as of Summer 2010. It had been in effect since Fall 2007.
- The number of applications received by Financial Aid and Awards for bursary funding increased by 1,374 or approximately 19% from the previous year. This may be attributed to the removal of the government student assistance requirement which opened up this opportunity to a much larger group of students.

- The total calculated financial need increased by \$4,411,616 or approximately 66% from the previous year. In addition to a greater number of students applying, more students were eligible than would have been previously with the student loan requirement.
- Individual student bursaries for domestic and grandfathered international students were awarded at an annual average of 43% of assessed need, which was approximately 23% lower than the previous year. To accommodate for available budget and support of all those that did qualify for bursary funding, the percentage of assessed need used was lowered.
- The budget for international fee schedule A students was \$725,000. Fee schedule A international students were awarded at an annual average of 25%, which was 6% lower than the previous year.
- University designated bursaries to encourage internationalization in the form of exchanges for undergraduate students showed a 40% increase in bursary funding awarded over the previous year.
- University designated bursaries to support aboriginal students (both graduate and undergraduate) in high financial need again showed a 5.5% increase in bursary funding awarded, over the previous year. Awareness and communication campaigns for students through a Financial Aid and Awards partnership with the First Nations Office have continued to keep students engaged in applying for this opportunity. Aboriginal students also received bursaries from donors and these numbers were included under the undergraduate student Annual/Endowed bursaries portion of the table below. It is a priority to use donor funded bursaries before accessing funds through University funded bursaries.
- There was approximately an 8% decrease in bursary funding awarded for domestic graduate students from the SFU Open Graduate Bursary compared to the previous year. There was an approximately 32% decrease in bursary funding awarded, for international graduate students from the SFU Open Graduate Bursary over the previous year.

#### **Work-Study Program for Undergraduate and Graduate Students**

- There was an approximately 15% increase in undergraduate students and 8% decrease in graduate students that accepted a Work-study position. Due to budget limitations, the number of graduate students was capped for the Spring 2011 term.

#### **StudentAid BC Program – Full-Time Student Loan Funding for BC Undergraduate and Graduate Students**

- According to the government's statistics Simon Fraser remained the 2nd largest user of the assistance programs for the 9th year in a row.
- At Simon Fraser University approximately 20% of all undergraduate students received their primary funding through StudentAid BC government student assistance.
- The federal government shifted funding to some new undergraduate student grants for persons from low-income and middle-income families. Students were automatically identified for these grants based on the information provided in their student loan application. For the low-income grant, a student could receive \$250 for each month of study and for the middle-income grant a student could receive \$100 for each month of study. The grants included in the overall BC/Federal grant information show a 29% increase in funding disbursements over the previous year.





Expense Summary of Graduate Award Funds Administered by Dean of Graduate Studies  
Three-Year Overview: Fiscal Years 2008/2009 through 2010/2011

| Name and Type of Award  | FY2010/2011          |                            |                     | FY2009/2010          |                            |                     | FY2008/2009          |                            |                     |
|---|----------------------|----------------------------|---------------------|----------------------|----------------------------|---------------------|----------------------|----------------------------|---------------------|
|   | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$) | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$) | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$) |
| <b>INTERNALLY-FUNDED GRADUATE AWARDS</b>  |                      |                            |                     |                      |                            |                     |                      |                            |                     |
| <b>Graduate scholarships/fellowships/awards funded by Graduate SBA budget</b>   |                      |                            |                     |                      |                            |                     |                      |                            |                     |
| <i>C.D. Nelson Scholarships</i> <sup>(1), (2)</sup>                             | 18,000               | 29                         | 235,000.00          | 18,000-19,000        | 32                         | 277,665.30          | 19,000               | 35                         | 325,334.00          |
| <i>Graduate Fellowships</i> <sup>(2)</sup>                                      | 3,125 or 6,250       | 671                        | 3,828,125.00        | 3,125 or 6,250       | 650                        | 3,876,875.00        | 6,250                | 600                        | 3,750,000.00        |
| <i>President's PhD Research Stipends</i>  | 6,250                | 178                        | 1,112,500.00        | 6,250                | 177                        | 1,106,250.00        | 6,250                | 132                        | 825,000.00          |
| <i>Special Graduate Entrance Scholarships - General</i> <sup>(3)</sup>          | 2000-7000            | 35                         | 138,583.00          | 750-9,000            | 70                         | 229,452.00          | 1,000-10,000         | 63                         | 377,081.00          |
| <i>Special Graduate Entrance Scholarships - Targeted</i> <sup>(4)</sup>         | 2000-7000            | 7                          | 27,000.00           | 9,000                | 81                         | 699,750.00          | (n/a)                | (n/a)                      | (n/a)               |
| <i>Provost Scholarship</i>  | 5,000                | 24                         | 75,200.00           | (n/a)                | (n/a)                      | (n/a)               | (n/a)                | (n/a)                      | (n/a)               |
| <i>Travel &amp; Minor Research Awards</i> <sup>(5)</sup>                        | varies (avg 500)     | 355                        | 163,973.13          | Varies (avg. \$450)  | 362                        | 164,128.80          | Varies (avg. \$550)  | 389                        | 215,526.89          |
| <i>Graduate scholarships/fellowships/awards funded by Graduate SBA budget</i>   |                      | 1,299                      | 5,580,381.13        |                      | 1,372                      | 6,354,121.10        |                      | 1,219                      | 5,492,941.89        |
| <b>Graduate scholarships/fellowships funded by Faculties/Schools</b>            |                      |                            |                     |                      |                            |                     |                      |                            |                     |
| <i>Faculty of Applied Sciences Graduate Fellowships</i>                         | 3,125                | 14                         | 46,875.00           | 3,125                | 13                         | 40,625.00           | 3,125-3,250          | 30                         | 94,000.00           |
| <i>Faculty of Business Administration Graduate Scholarships</i>                 | 5,000                | 16                         | 82,667.00           | 5,000                | 4                          | 20,000.00           | 5,000                | 8                          | 40,000.00           |
| <i>Faculty of Communication, Art &amp; Technology Graduate Fellowships</i>      | 3,125                | 9                          | 28,125.00           | 3,125                | 8                          | 25,000.00           | (n/a)                | (n/a)                      | (n/a)               |
| <i>Faculty of Education Graduate Scholarships</i>                               | 3000-6250            | 6                          | 24,750.00           | 3,000-6,250          | 6                          | 24,750.00           | 6,000                | 4                          | 24,000.00           |
| <i>Faculty of Health Sciences Graduate Scholarships</i>                         | 1250-6250            | 18                         | 56,750.00           | 1,400-3,250          | 6                          | 11,000.00           | 1,000-9,000          | 15                         | 72,500.00           |
| <i>School of Computing Science Graduate Fellowships/Scholarships</i>            | -                    | 0                          | 0.00                | 3,000                | 1                          | 3,000.00            | 3,000                | 12                         | 36,000.00           |
| <i>School of Interactive Arts and Technology Graduate Fellowships</i>           | -                    | 0                          | 0.00                | 6,250                | 1                          | 6,250.00            | 6,125                | 2                          | 12,250.00           |
| <i>Graduate scholarships/fellowships funded by Faculties/Schools</i>            |                      | 63                         | 239,167.00          |                      | 39                         | 130,625.00          |                      | 71                         | 278,750.00          |
| <b>Other internally-funded awards</b>   |                      |                            |                     |                      |                            |                     |                      |                            |                     |
| <i>Graduate International Research Travel Awards</i> <sup>(6)</sup>             | 1000-6000            | 25                         | 113,004.00          | 1,250-6,875          | 23                         | 96,500.00           | 6,250                | 25                         | 156,250.00          |
| <i>Additional President's PhD Research Stipends</i>                             | -                    | 0                          | 0.00                | 6,250                | 8                          | 50,000.00           | (n/a)                | 0                          | 0.00                |
| <i>Private Awards (supported by endowments)</i>                                 | 410-8700             | 193                        | 623,117.00          | 230-16,000           | 196                        | 627,345.36          | 225-17,500           | 212                        | 688,499.00          |
| <i>CTEF Graduate Fellowships (supported by Community Trust Endowment Fund)</i>  | 6,250                | 15                         | 93,750.00           | 6,250                | 7                          | 43,750.00           | (n/a)                | (n/a)                      | (n/a)               |
| <i>VPR-Climate Justice Project Graduate Fellowships (funded by VP Research)</i> | -                    | 0                          | 0.00                | 3,125                | 2                          | 6,250.00            | (n/a)                | (n/a)                      | (n/a)               |
| <i>VPR- USRA Science</i> <sup>(7)</sup>   | 4,500                | 60                         | 267,468.70          | (n/a)                | (n/a)                      | (n/a)               | (n/a)                | (n/a)                      | (n/a)               |
| <i>VPR-USRA Social Science and Humanities</i> <sup>(7)</sup>                    | 2250-4500            | 11                         | 39,262.50           | (n/a)                | (n/a)                      | (n/a)               | (n/a)                | (n/a)                      | (n/a)               |
| <i>Other internally-funded awards</i>   |                      | 304                        | 1,136,602.20        |                      | 236                        | 823,845.36          |                      | 237                        | 844,749.00          |
| <b>TOTAL INTERNALLY-FUNDED GRADUATE AWARDS</b>                                  |                      | <b>1,666</b>               | <b>6,956,150.33</b> |                      | <b>1,647</b>               | <b>7,308,591.46</b> |                      | <b>1,527</b>               | <b>6,616,440.89</b> |



Expense Summary of Graduate Award Funds Administered by Dean of Graduate Studies  
Three-Year Overview: Fiscal Years 2008/2009 through 2010/2011

| Name and Type of Award   | FY2010/2011          |                            |                      | FY2009/2010          |                            |                      | FY2008/2009          |                            |                      |
|--|----------------------|----------------------------|----------------------|----------------------|----------------------------|----------------------|----------------------|----------------------------|----------------------|
|  | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$)  | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$)  | Value per Award (\$) | Number of Award Recipients | Total Expenses (\$)  |
| <b>EXTERNALLY-FUNDED GRADUATE AWARDS</b>                                       |                      |                            |                      |                      |                            |                      |                      |                            |                      |
| <b>National scholarships funded by Federal funding agencies</b>                |                      |                            |                      |                      |                            |                      |                      |                            |                      |
| <i>NSERC Graduate Scholarships</i>   | 17,300-50,000        | 159                        | 2,444,638.81         | 17,300-50,000        | 162                        | 2,282,083.11         | 17,300-35,000        | 150                        | 2,211,206.43         |
| <i>NSERC Industrial Post-Grad Scholarships (excludes industrial portion)</i>   | 15,000               | 8                          | 80,000.00            | 15,000               | 9                          | 100,000.00           | 15,000               | 16                         | 190,000.00           |
| <i>SSHRC Graduate Scholarships</i>   | 17,500-50,000        | 210                        | 3,361,899.04         | 17,500-50,000        | 180                        | 2,609,137.47         | 17,500-35,000        | 156                        | 2,268,972.28         |
| <i>CIHR Graduate Scholarships</i>  | 17,500-50,000        | 46                         | 688,592.92           | 17,500-50,000        | 35                         | 579,928.98           | 17,500-50,000        | 22                         | 383,018.38           |
| <i>Canada Graduate Scholarships-M. Smith Foreign Study Supplements</i>         | Up to 6,000          | 14                         | 77,621.00            | Up to 6,000          | 5                          | 26,265.00            | 6,000                | 1                          | 6,000.00             |
| <i>NSERC Undergraduate Student Research Awards</i>                             | 4,500                | 77                         | 346,257.96           | 4,500                | 87                         | 384,989.32           | 4,500                | 123                        | 534,852.52           |
| <i>National scholarships funded by Federal funding agencies</i>                |                      | 514                        | 6,999,009.73         |                      | 478                        | 5,982,403.88         |                      | 468                        | 5,594,049.61         |
| <b>Major scholarships funded by Provincial funding agencies</b>                |                      |                            |                      |                      |                            |                      |                      |                            |                      |
| <i>MSFHR Graduate Trainee Awards</i>   | 7,000-20,000         | 17                         | 128,918.00           | 7,000-20,000         | 36                         | 337,750.00           | 7,000-20,000         | 46                         | 411,254.00           |
| <i>Pacific Century Graduate Scholarships</i>                                   | 10,000               | 46                         | 435,000.00           | 10,000               | 79                         | 601,684.00           | 10,000               | 61                         | 481,649.00           |
| <i>Pacific Leaders Graduate Student Fellowships</i>                            | 20,000               | 1                          | 6,666.00             | 20,000               | 3                          | 33,334.00            | 20,000               | 5                          | 86,667.00            |
| <i>Pacific Institute Climate Solutions Graduate Fellowships</i>                | 12000-18000          | 7                          | 93,999.00            | 5,750-18,000         | 5                          | 55,085.00            | 10,000               | 2                          | 13,332.00            |
| <i>Year of Science Scholarships</i>  | 3125-6125            | 42                         | 237,500.00           | (n/a)                | (n/a)                      | (n/a)                | (n/a)                | (n/a)                      | (n/a)                |
| <i>Major scholarships funded by Provincial funding agencies</i>                |                      | 113                        | 902,083.00           |                      | 123                        | 1,027,853.00         |                      | 114                        | 992,902.00           |
| <b>Other externally-funded awards and grants</b>                               |                      |                            |                      |                      |                            |                      |                      |                            |                      |
| <i>NSERC IPGS Industrial Sponsorships (contributed by industrial partners)</i> | 6,000-7,500          | 6                          | 29,942.38            | 6,000-7,500          | 10                         | 45,875.19            | 6,000-7,500          | 17                         | 85,269.02            |
| <i>Private Awards (supported by annual donations)</i>                          | 278-10000            | 39                         | 123,866.00           | 495-20,000           | 45                         | 153,771.00           | 250-6,000            | 41                         | 126,650.00           |
| <i>Donor-Designated Awards</i>   | 800-12500            | 34                         | 311,378.91           | 1,000-25,000         | 26                         | 300,132.96           | 2,500-27,000         | 19                         | 235,248.35           |
| <i>Northern Scientific Training Program Grants</i>                             | varies               | 12                         | 36,239.03            | 1,600-3,600          | 12                         | 32,081.84            | 870-3,150            | 15                         | 26,617.59            |
| <i>CIHR Health Professional Student Awards <sup>(8)</sup></i>                  | 4,251                | 6                          | 25,506.00            | (n/a)                | 0                          | 0.00                 | 4,251                | 6                          | 25,506.00            |
| <i>Student Led Research Grants <sup>(9)</sup></i>                              | -                    | 0                          | 0.00                 | 1,500                | 7                          | 10,500.00            | 1,500                | 26                         | 39,000.00            |
| <i>Other externally-funded awards</i>  |                      | 97                         | 526,932.32           |                      | 100                        | 542,360.99           |                      | 124                        | 538,290.96           |
| <b>TOTAL EXTERNALLY-FUNDED GRADUATE AWARDS</b>                                 |                      | <b>724</b>                 | <b>8,428,025.05</b>  |                      | <b>701</b>                 | <b>7,552,617.87</b>  |                      | <b>706</b>                 | <b>7,125,242.57</b>  |
| <b>TOTAL GRADUATE AWARD FUNDS EXPENSED BY FISCAL YEAR</b>                      |                      | <b>2,390</b>               | <b>15,384,175.38</b> |                      | <b>2,348</b>               | <b>14,861,209.33</b> |                      | <b>2,233</b>               | <b>13,741,683.46</b> |



**Expense Summary of Graduate Award Funds Administered by Dean of Graduate Studies  
Three-Year Overview: Fiscal Years 2008/2009 through 2010/2011**

**NOTES**

- (1) C.D. Nelson Scholarships are paid over three terms, normally starting in the Fall term. The figures reported include academic year 2009/2010 award winners (receiving their last award installment corresponding to Summer 2010) and academic year 2010/2011 award winners (receiving their first two award installments corresponding to Fall 2010 and Spring 2011). The total number of award recipients per term reflects the number of students that were paid at least one installment of their C.D. Nelson Scholarship in that term. In FY2010/2011, a total of 29 students were paid their scholarship in installments over several terms.
- (2) C.D. Nelson Scholarships and Graduate Fellowships may be granted at less than full value in order to keep within the annual maximum scholarship funds allowed per student. C.D. Nelson Scholarships and Graduate Fellowships may also be reduced to less than full value due to student's withdrawal from graduate program.
- (3) Special Graduate Entrance Scholarships-General may be granted as one of the following types of award:
- a) As stand-alone entrance scholarships - paid over one or two terms;
  - b) as top-up funding to major entrance scholarships - paid over three terms; or
  - c) as the second year of funding to provincial awards - paid over three terms.
- The total number of award recipients reported each fiscal year reflects the number of students that were paid at least one installment of their Special Graduate Entrance Scholarship-General during that fiscal year.
- (4) Special Graduate Entrance Scholarships-Targeted were paid over two terms. The total number of award recipients reflects the number of students that were paid at least one installment of their Special Graduate Entrance Scholarship-Targeted during the fiscal year.
- (5) Funds for the Travel & Minor Research Awards program were assigned to graduate programs for allocation to students at the discretion of each individual academic unit. The number of award recipients is an approximate figure, and is based on documentation submitted by graduate programs for travel/research funding requests made to the Dean of Graduate Studies.
- (6) Formerly called Graduate International Scholarships. Name was changed to Graduate International Research Travel Awards effective May 2008.
- (7) The Vice President's Undergraduate Research Student Awards (VPR-USRA) for Science and Humanities were offered starting in FY 2010-2011
- (8) CIHR Health Professional Student Awards were reported under the "CIHR Graduate Scholarships" category in FY2007/2008. However, these awards are not national scholarships and should be treated as other external funding. As a result, the CIHR Health Professional Student Awards are reported under the "Other externally-funded awards and grants" category starting in FY2008/2009.
- (9) Formerly called Cross Government Research, Policy and Practice (CGRPP) Branch Awards until August 2008. Name was changed to Student Led Research Grants by the CGRPP Branch of the Ministry of Labour and Citizens' Services (the funding party) effective September 2008. No awards were given out in the Fall 2010 term.





DEAN OF GRADUATE STUDIES  
SIMON FRASER UNIVERSITY

**Financial Summary of Graduate Scholarships/Bursaries/Awards (SBA) Budget  
Fiscal Year 2010/2011**

**BUDGET**

|                                       |           |
|---------------------------------------|-----------|
| Budget Allocation for FY2010/2011     | 6,772,236 |
| Carryforward Balance from FY2009/2010 | 759,529   |
| Year of Science funding               | 240,000   |

**Total SBA Budget for FY2010/2011** 7,771,765

**EXPENSES** (by academic term)

| Name of Award   | Value of Award                | Summer 2010                |                       | Fall 2010                  |                       | Spring 2011                |                       | Total for FY2010/2011      |                                |
|---|-------------------------------|----------------------------|-----------------------|----------------------------|-----------------------|----------------------------|-----------------------|----------------------------|--------------------------------|
|   |                               | Number of Award Recipients | Expensed in Term (\$) | Number of Award Recipients | Expensed in Term (\$) | Number of Award Recipients | Expensed in Term (\$) | Number of Award Recipients | Total Expensed in FY09/10 (\$) |
| C.D. Nelson Scholarships (1), (2)                     | 18,000.00                     | 14                         | 77,333.00             | 13                         | 78,000.00             | 14                         | 79,667.00             | 29                         | 235,000.00                     |
| Graduate Fellowships (3)                              | \$3,125 or \$6,250 (per term) | 209                        | 1,178,125.00          | 319                        | 1,850,000.00          | 185                        | 1,037,500.00          | 713                        | 4,065,625.00                   |
| President's PhD Research Stipends                     | \$6,250 (per term)            | 43                         | 268,750.00            | 57                         | 356,250.00            | 78                         | 487,500.00            | 178                        | 1,112,500.00                   |
| Special Graduate Entrance Scholarships - General (3)  | \$2,000 to \$7,000            | 3                          | 3,332.00              | 33                         | 132,251.00            | 3                          | 3,000.00              | 35                         | 138,583.00                     |
| Special Graduate Entrance Scholarships - Targeted (4) | \$2,000 to \$7,000            | 0                          | -                     | 6                          | 22,500.00             | 1                          | 4,500.00              | 7                          | 27,000.00                      |
| Provost Scholarship (5)                               | 5,000.00                      | 0                          | -                     | 23                         | 36,800.00             | 24                         | 38,400.00             | 24                         | 75,200.00                      |
| Travel & Minor Research Awards (6)                    | varies (average \$500)        | 0                          | -                     | 0                          | -                     | 355                        | 163,973.13            | 355                        | 163,973.13                     |
| <b>Totals</b>   |                               | <b>269</b>                 | <b>1,527,540</b>      | <b>451</b>                 | <b>2,475,801</b>      | <b>660</b>                 | <b>1,814,540</b>      | <b>1,341</b>               | <b>5,817,881</b>               |

**Total Expenses in FY2010/2011** 5,817,881

**BALANCE**

**Net Balance at Fiscal Year End (March 31, 2011)** 1,953,884

**Expenditures for Summer 2011** 2,000,000



DEAN OF GRADUATE STUDIES  
SIMON FRASER UNIVERSITY

**Financial Summary of Graduate Scholarships/Bursaries/Awards (SBA) Budget  
Fiscal Year 2010/2011**

**NOTES**

(1) C.D. Nelson Scholarships are paid over three terms, normally starting in the Fall term. The figures reported include academic year 2009/2010 award winners (receiving their last award installment corresponding to Summer 2010) and academic year 2010/2011 award winners (receiving their first two award installments corresponding to Fall 2010 and Spring 2011). The total number of award recipients per term reflects the number of students that were paid at least one installment of their C.D. Nelson Scholarship in that term. In FY2010/2011, a total of 29 students were paid their scholarship in installments over several terms.

(2) These figures include a reversal of the CD Nelson Scholarship for one student.

(3) Special Graduate Entrance Scholarships-General were granted as one of the following types of award:

- a) As stand-alone entrance scholarships - paid over one or two terms;
- b) as top-up funding to major entrance scholarships - paid over three terms; or
- c) as the second year of funding to provincial awards - paid over three terms.

General in FY2009/2010.

(4) Special Graduate Entrance Scholarships-Targeted were paid over two terms

(5) The Provost Scholarship is top-up awards for national scholarship recipients from one of the tri-councils. These awards are paid over 3 terms starting in the Fall 2010 term. The total number of award recipients per term reflects the number of students that were paid at least one installment of their Provost Scholarship in that term. In FY2010/2011, a total of 24 students were paid their scholarship in installments over several terms.

(6) Funds for the Travel & Minor Research Awards program were assigned to graduate programs for allocation to students at the discretion of each individual academic unit. The number of award recipients is an approximate figure, and is based on documentation submitted by graduate programs for travel/research funding requests made to the Dean of Graduate Studies.



DEAN OF GRADUATE STUDIES  
SIMON FRASER UNIVERSITY

**Summary of Graduate Scholarships/Bursaries/Awards (SBA) Base Budget Allocations  
Five-Year Overview: Fiscal Years 2007/2008 through 2011/2012**

|  |                  |
|--|------------------|
| <b>FY2007/2008 Base Budget - approved by BoG</b> | <b>5,635,723</b> |
|--|------------------|

*Adjustments for FY2008/2009:*

*Remove: Base cut (2.57% of FY2007/2008 Grad SBA budget + PCGS budget)* (157,958)

*Fiscal Year Graduate Students Unique headcount (based on IRP data)* 5,241

|  |                  |
|--|------------------|
| <b>FY2008/2009 Base Budget - approved by BoG</b> | <b>5,477,765</b> |
|--|------------------|

*Adjustments for FY2009/2010:*

*Add: New funding allocation per New Funding Priority Request by W. Parkhouse* 1,480,000

*Remove: Base cut (3.39% of FY2008/2009 Grad SBA budget)* (185,529)

*Fiscal Year Graduate Students Unique headcount (based on IRP data)* 5,461

|  |                  |
|--|------------------|
| <b>FY2009/2010 Base Budget - approved by BoG</b> | <b>6,772,236</b> |
|--|------------------|

*Adjustments for FY2010/2011:*

*None*

*Fiscal Year Graduate Students Unique headcount (based on IRP data)* 5,685

|  |                  |
|--|------------------|
| <b>FY2010/2011 Base Budget - approved by BoG</b> | <b>6,772,236</b> |
|--|------------------|

*Adjustments for FY2011/2012:*

*Year of Science funding* 240,000

*Fiscal Year Graduate Students Unique headcount (based on IRP data)* 5,507

|  |                  |
|--|------------------|
| <b>FY2011/2012 Base Budget - approved by BoG</b> | <b>6,772,236</b> |
|--|------------------|