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MEMORANDUM

ATTENTION	Senate	**DATE**	October 13, 2009
FROM	Dr. Jonathan Driver, Vice-President, Academic and Provost	**PAGES**	1/1
RE:	NWCCU Accreditation Update		

I am providing for Senate's information an update on the progress to date of our application for accreditation with the Northwest Commission on Colleges and Universities, and plans for the next stage of the process. I have also attached the revised accreditation standards that are currently being discussed by NWCCU and the accredited institutions.

Attachments (2)

<u>**Update to the Board of Governors and Senate**</u>

<u>**SFU Accreditation with the Northwest Commission on Colleges and Universities (NWCCU)**</u>

Jon Driver, Vice-President Academic, 9th October 2009

1. <u>Background/introduction</u>

In October 2008 SFU made application to the Northwest Commission on Colleges and Universities (NWCCU) to be considered as a candidate for full accreditation. The NWCCU Board met in January 2009 and after perusing wide-ranging SFU documentation and interviewing Vice-President Academic Jon Driver, they approved SFU's consideration for candidacy. This outcome was reported to the Board of Governors at the meeting held on March 26, 2009.

2. <u>Why accreditation?</u>

Canada is one of the shrinking number of countries that has no national or regional accreditation process in the tertiary education sector.

In addition there are a number of other reasons for SFU seeking accreditation, these include:

- Increasingly, international students are seeking assurance that the institutions that they are attending have some kind of accreditation and quality assurance. This is a particular need in BC since there have been a number of private post-secondary institution failures which have generated a huge amount of negative publicity abroad.
- SFU intends increasing its international profile and needs to ensure that it is attracting the very best international students.
- The goals and outcomes of accreditation are congruent with our academic planning and tie into the larger strategic planning exercise for the university. Adhering to an accepted and broadly recognized set of best practice standards will result in enhanced academic quality, stronger demonstrated accountability and improved institutional assessment and evaluation. Accreditation would contribute to benchmarks/standards for assessing learning outcomes, and facilitate curriculum reform; and this process of continuous improvement will also ensure that our achievements are well documented and recognized.
- International accreditation will enhance the value of the SFU degree for alumni abroad.
- Accreditation will simplify our relationships with US institutions including collegiate sports.

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3. NWCCU (http://www.nwccu.org)

Established in 1952 the Northwest Commission on Colleges and Universities (NWCCU) is an independent, non-profit membership organization recognized by the U.S. Department of Education and the Council for Higher Education Accreditation (CHEA) as the regional authority on educational quality and institutional effectiveness of higher education institutions. The NWCCU is one of six regional organizations, and services the seven-state Northwest region of Alaska, Idaho, Montana, Nevada, Oregon, Utah, and Washington. It fulfills its mission by establishing accreditation criteria and evaluation procedures by which institutions are reviewed.

The Commission oversees regional accreditation for 163 institutions. The figure below shows a few doctorial universities that are accredited by the NWCCU. The full list is available on their web site.

Some NWCCU Accredited 'D' Universities & Date of Accreditation

- Boise State (1941)
- Brigham Young (1923)
- Eastern Washington (1919)
- Idaho State (1918)
- Pacific (1929)
- Portland State (1955)
- Seattle Pacific (1933)
- Seattle (1935)
- Montana (1932)

- Alaska Fairbanks, (1934)
- Idaho, (1918)
- Nevada, (1938)
- Oregon, (1918)
- Washington State (1918)
- Washington (1918)
- Portland, (1931)
- Utah, (1933)
- Utah State (1924)

Its decision-making body consists of up to twenty-six Commissioners who represent the public and the diversity of higher education institutions within the Northwest region.

4. The Accreditation Process

Institutional accreditation applies to the institution as a whole, not individual programmes or units within their organization. Accredited institutions are distinguished by their demonstrated ability to meet broadly accepted higher education standards of quality. The value of accreditation is not limited to quality assurance alone; it also fosters public confidence in the institution's ability to fulfill its stated mission and goals.

The full accreditation process will take between 5 and 7 years to complete. SFU is currently classified as an 'Applicant'. Once SFU conducts a very detailed Self Study detailing how its policies, procedures and practice relate to the standards prescribed by

the NWCCU and hosts a site visit from an evaluation committee of senior administrator and academic peers from US institutions, SFU will be granted 'Candidate' status. During the 'Candidacy' period, which usually lasts 3 years, annual reports need to be submitted to NWCCU and further site visits from the evaluation committee occur every 18 months. Thereafter SFU will be 'Accredited'. To maintain the accreditation status a full reaccreditation process is followed over a 7 year cycle with regular reporting and site visit evaluations.

Annual reports will be made to Senate and the Board of Governors of the progress being made to full accreditation.

Note: Currently the standards and process for evaluation and accreditation are under review and should be finalized within a few months. The proposed new standards are attached.

5. Accreditation Costs

The Northwest Commission on Colleges and Universities determines annual dues for Candidate and Accredited institutions based upon total educational and general expenditures and mandatory transfers (exclusive of medical school and hospital budgets) for the previous academic year. Based on their dues schedule SFU's annual dues would be $13,600(US).

For institutions being evaluated, such as SFU currently, a fee structure is in place which would total $22,000 (US) up until initial Accreditation is achieved. Added to these fees would be the costs associated with the various site visits by the evaluation committee and any internal SFU administrative expenses which may be incurred in the management of this process and the preparation of the Self Study.

All costs incurred to obtain accreditation will be funded from FIC revenues.

6. Immediate Next Steps

SFU will be hosting the Executive Vice President of the NWCCU on December 2, 2009 when he will meet with the President, Vice Presidents, Deans, Chairs and Directors to further explain the accreditation process and answer any questions we may have.

Shortly a project will be established to prepare the Self Study which we anticipate completing within 12 - 18 months. We would then host the site visit from the evaluation committee.

NORTHWEST COMMISSION ON
COLLEGES AND UNIVERSITIES
NWCCU

Revised Standards for Accreditation

DRAFT 5.0

September 1, 2009

TABLE OF CONTENTS

4.

Standard One – Mission, Core Themes, and Expectations

The institution articulates its purpose in the form of a mission statement. Its core themes manifest essential elements of that mission. It defines mission fulfillment in the context of its purpose and characteristics and, guided by that definition, identifies an acceptable threshold or extent of mission fulfillment.

1.A Mission

1.A.1 The institution has a governing-board-approved and widely-published mission statement that expresses clearly a purpose appropriate for an institution of higher learning, gives direction for its efforts, and derives from and is generally understood by its community.

1.A.2 The institution defines mission fulfillment in the context of its purpose, values, and characteristics. Within that definition, it articulates institutional achievements, outcomes, or expectations that represent an acceptable threshold or extent of mission fulfillment.

1.B Core Themes

1.B.1 The institution identifies core themes that individually manifest essential elements of its mission and collectively encompass its mission.

1.B.2 The institution establishes objectives for each of its core themes and identifies meaningful, assessable, and verifiable indicators of achievement that form the basis for evaluating achievement of those objectives.

Standard Two – Resources and Capacity

By documenting the adequacy of its resources and capacity, the institution exhibits the potential to fulfill its mission, accomplish its core theme objectives, and achieve the goals or intended outcomes of its programs and services, wherever offered and however delivered. Through its governance and decision-making structures, the institution establishes, reviews regularly, and revises, as necessary, policies and procedures which promote effective management and operation of the institution.

2.A Governance

2.A.1 The institution demonstrates an effective and widely understood system of governance with clearly-defined authority, roles, and responsibilities. Its decision-making structures and processes make provision for the consideration of the views of faculty, staff, administrators, and students on matters in which they have a direct and reasonable interest.

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2.A.2 In a multi-unit governance system, the division of authority and responsibility between the system and the institution is clearly delineated. System policies, regulations, and procedures concerning the institution are clearly defined and equitably administered.

2.A.3 The institution monitors its compliance with the Commission's standards for accreditation, including the impact of collective bargaining agreements, legislative actions, and external mandates.

Governing Board

2.A.4 The institution has a functioning governing board consisting of at least five voting members, a majority of whom have no contractual, employment, or financial interest in the institution. The board acts only as a committee of the whole; no member or subcommittee of the board acts on behalf of the board except by formal delegation of authority by the governing board as a whole.

2.A.5 The board establishes, reviews regularly, and revises as necessary policies regarding its own organization and operation and policies relating to institutional quality, effectiveness, integrity, financial stability, and viability.

2.A.6 The board selects and evaluates regularly a chief executive officer who is accountable for the operation of the institution. It delegates authority and responsibility to the CEO to implement and administer board-approved policies related to the operation of the institution.

2.A.7 The board regularly evaluates its performance to ensure its duties and responsibilities are fulfilled in an effective and efficient manner.

Leadership and Management

2.A.8 The institution has an effective system of leadership, staffed by qualified administrators with appropriate levels of responsibility and accountability, who are charged with planning, organizing, and managing the institution and assessing its achievements and effectiveness.

2.A.9 The institution employs a chief executive officer, chief academic officer, and chief financial officer, each with appropriate qualifications and full-time responsibility to the institution.

Policies and Procedures

Academics
2.A.10 Academic policies, including those related to teaching, service, scholarship, research, and artistic creation, are clearly communicated to affected students, faculty, and other constituencies.

2.A.11 Policies regarding access to and use of library and information resources – regardless of format, location, and delivery method – are documented, published, and enforced.

2.A.12 The institution develops, publishes widely, and follows an effective and clearly stated transfer-of-credit policy that maintains the integrity of its programs while facilitating efficient mobility of students between institutions in completing their educational programs.

Students
2.A.13 Policies and procedures regarding students' rights and responsibilities – including academic honesty, appeals, grievances, and accommodations for persons with disabilities – are clearly stated, readily available, and implemented and administered in a fair and consistent manner.

2.A.14 The institution adopts and adheres to admission and placement policies that guide the enrollment of students in courses and programs through an evaluation of prerequisite knowledge, skills, and abilities to assure a reasonable probability of student success in the course or program at a level commensurate with the institution's expectations. Its policy regarding continuation in, and termination from, its educational programs – including its appeal process and readmission policy – are clearly defined, widely published, and administered in a fair and timely manner.

2.A.15 The institution maintains and publishes policies that clearly state its relationship to co-curricular activities and the roles and responsibilities of students and the institution for those activities, including student publications and other student media, if offered.

Human Resources
2.A.16 The institution maintains and publishes its human resources policies and procedures and regularly reviews them to ensure they are applied in a consistent, fair, and equitable manner to its employees and students.

2.A.17 Employees are apprised of their work conditions of employment, work assignments, rights and responsibilities, and criteria and procedures for evaluation, retention, promotion, and termination.

2.A.18 The institution ensures the security and appropriate confidentiality of human resources records.

Institutional Integrity
2.A.19 The institution represents itself clearly, accurately, and consistently through its announcements, statements, and publications. It communicates its academic intentions, programs, and services to students and to the public and demonstrates that its academic programs can be completed in a timely fashion. It regularly reviews its publications to assure integrity in all representations about its mission, programs, and services.

2.A.20 The institution advocates, subscribes to, and exemplifies high ethical standards in managing and operating the institution, including its dealings with the public, the Commission, and external organizations, and in the fair and equitable treatment of students, faculty, administrators, staff, and other constituencies. It ensures complaints and grievances are addressed in a fair and timely manner.

2.A.21 The institution adheres to a clearly-defined policy that prohibits conflict of interest on the part of members of the governing board, administration, faculty, and staff. Even when supported by or affiliated with social, political, corporate, or religious organizations, education is the institution's primary purpose and it operates as an academic institution with appropriate autonomy. If it requires conformity to specific codes of conduct of its constituencies or seeks to instill specific beliefs or world views, it gives clear prior notice of such codes and/or policies in its publications.

2.A.22 The institution maintains clearly-defined policies with respect to ownership, copyright, control, compensation, and revenue derived from the creation and production of intellectual property.

2.A.23 The institution accurately represents its accreditation status and uses the terms "accreditation" and "candidacy" (and related terms) only when that status is conferred by an accrediting body recognized by the Secretary of the U.S. Department of Education. It makes no statement regarding possible future accreditation status or qualification not yet conferred by any recognized accrediting body.

2.A.24 If the institution enters into contractual agreements with external entities for products or services related to its mission, programs, and services performed on behalf of the institution, the scope of work with clearly-defined roles and responsibilities is approved in the form of a written agreement that contains provisions to maintain the integrity of the institution. In such cases, the institution ensures the scope of the agreement is consistent with the mission and goals of the institution, adheres to institutional policies and procedures, and complies with the Commission's standards for accreditation.

Academic Freedom
2.A.25 The institution makes public and adheres to governing-board-approved policies on academic freedom and responsibility that protect its constituencies from inappropriate internal and external influences, pressures, and harassment.

2.A.26 Within the context of its mission and values, the institution defines and actively promotes an environment that supports independent thought in the pursuit and dissemination of knowledge. It affirms the freedom of faculty, staff, administrators, and students to share their scholarship and reasoned conclusions with others. While institutions and individuals within the institution may hold to a particular personal, social, or religious philosophy, the institution's constituencies are intellectually free to examine thought and reason and to distinguish between the pursuit of truth and a commitment to values. Moreover, they allow others the freedom to do the same.

2.A.27 Individuals with teaching responsibilities present scholarship fairly, accurately, and objectively. Their personal beliefs and opinions are identified as such.

Financial

2.A.28 The institution has clearly-defined policies, approved by the governing board, regarding oversight and management of financial resources - including financial planning, board approval and monitoring of operating and capital budgets, reserves, investments, fundraising, cash management, debt management, and transfers and borrowings between funds.

2.B Human Resources

2.B.1 The institution employs a sufficient number of qualified personnel to support its programs and services wherever offered and however delivered. Criteria, qualifications, and procedures for selection of personnel are clearly and publicly stated. Job descriptions accurately reflect duties, responsibilities, and authority of the position.

2.B.2 Administrators and staff are evaluated regularly with regard to performance of work duties and responsibilities.

2.B.3 The institution provides faculty, staff, administrators, and other employees with appropriate opportunities for continuous professional development in areas related to their roles, duties, and responsibilities in order to foster proficiency in the use of technologies and application of methodologies appropriate for their work assignments.

Faculty

2.B.4 Consistent with its mission, educational philosophy, programs, and services, the institution employs appropriately-qualified faculty sufficient in number to achieve its educational objectives, establish and oversee academic policies, and ensure the integrity and continuity of its academic programs wherever offered and however delivered.

2.B.5 Faculty responsibilities and workloads are commensurate with the institution's expectations for teaching, service, scholarship, research, and/or artistic creation.

2.B.6 All faculty are evaluated in a regular, systematic, substantive, and collegial manner at least once within every five-year period of service. The evaluation process specifies the timeline and criteria by which faculty are evaluated; utilizes multiple indices of effectiveness, each of which is directly related to the faculty member's roles and responsibilities, including evidence of teaching effectiveness for faculty with teaching responsibilities; contains a provision to address concerns that may emerge between regularly scheduled evaluations; and provides for administrative access to all primary evaluation data.

2.C Education Resources

2.C.1 The institution provides programs, wherever offered and however delivered, with appropriate content and rigor that are consistent with its mission; culminate in achievement of clearly-identified student learning outcomes; and lead to collegiate-level degrees with designators consistent with program content in recognized fields of study.

2.C.2 The institution identifies and publishes expected course, program, and degree learning outcomes. Expectations for student learning in courses, wherever offered and however delivered, are included in syllabi provided to enrolled students.

2.C.3 Credit and degrees, wherever offered and however delivered, are awarded in a manner consistent with institutional policies that reflect generally accepted learning outcomes, norms, or equivalencies in higher education and based on documented student achievement of identified outcomes.

2.C.4 Degree programs, wherever offered and however delivered, demonstrate a coherent design with appropriate breadth, depth, sequencing of courses, and synthesis of learning. Admission and graduation requirements are clearly defined and widely published.

2.C.5 Faculty, through well-defined structures and processes with clearly-defined authority and responsibilities, exercise a major role in the design, approval, and implementation of the curriculum, and have an active role in the selection of new faculty. Faculty with teaching responsibilities take collective responsibility for fostering and assessing student achievement of clearly-identified learning outcomes.

2.C.6 Faculty with teaching responsibilities, in partnership with library and information resources personnel, ensure the use of library and information resources is integrated into the learning process.

2.C.7 Credit for prior experiential learning, if granted, is: 1) guided by approved policies and procedures; 2) awarded only at the undergraduate level to enrolled students; 3) limited to a maximum of 25% of the credits needed for a degree; 4) awarded only for documented student achievement equivalent to expected learning achievement for courses within the institution's regular curricular offerings; and 5) granted only upon the recommendation of appropriately-qualified teaching faculty. Credit granted for prior experiential learning is so identified on students' transcripts and may not duplicate other credit awarded to the student in fulfillment of degree requirements. The institution makes no assurances regarding the number of credits to be awarded prior to the completion of the institution's review process.

2.C.8 The final judgment in accepting transfer credit is the responsibility of the receiving institution. Transfer credit is accepted according to procedures which provide adequate safeguards to ensure high academic quality, relevance to the students' programs, and integrity of the receiving institution's degrees. In accepting transfer credit, the receiving institution ensures that the credit accepted is appropriate for its programs and comparable in nature, content, academic quality, and level to credit it offers. Where patterns of student enrollment between institutions are identified, the institution develops articulation agreements between the institutions.

Undergraduate Programs

2.C.9 The general education component of undergraduate programs demonstrates an integrated course of study that helps students develop the breadth and depth of intellect to become more effective learners and to prepare them for a productive life of work, citizenship, and personal fulfillment. Baccalaureate degree programs and transfer associate degree programs include a recognizable core of general education that represents an integration of basic knowledge and methodology of the humanities and fine arts, mathematical and natural sciences, and social sciences. Applied undergraduate degree and certificate programs of thirty (30) semester credits or forty-five (45) quarter credits in length contain a recognizable core of related instruction or general education with identified outcomes in the areas of communication, computation, and human relations that align with and support program goals or intended outcomes.

2.C.10 The institution demonstrates that the general education components of baccalaureate degree programs and transfer associate degree programs are appropriate for – and related to – its mission, its educational philosophy, and the learning outcomes for those degrees.

2.C.11 The general education components of baccalaureate degree and transfer associate degree programs have identifiable and assessable outcomes that are stated in relation to the institution's mission. The related instruction components of applied degree and certificate programs have identifiable and assessable outcomes that align with and support program goals or intended outcomes. Related instruction components may be embedded within program curricula or taught in blocks of specialized instruction. However, each approach must have clearly-identified content and be taught or monitored by teaching faculty who are appropriately qualified in those areas.

Graduate Programs

2.C.12 Graduate programs are consistent with the institution's mission; are in keeping with the expectations of their respective disciplines and professions; and are described through nomenclature that is appropriate to the levels of graduate and professional degrees offered. They differ from undergraduate programs by requiring greater depth of study and increased demands on student intellectual or creative capacities; knowledge of the literature of the field; and ongoing student engagement in research, scholarship, creative expression, and/or appropriate high-level professional practice.

2.C.13 Graduate admission and retention policies ensure that student qualifications and expectations are compatible with the institution's mission and the program's requirements. Transfer of credit is evaluated according to clearly-defined policies by faculty with a major commitment to graduate education or by a representative body of faculty responsible for the degree program at the receiving institution.

2.C.14 Graduate credit may be granted for internships, field experiences, and clinical practices that are an integral part of the graduate degree program. Credit toward graduate degrees may not be granted for experiential learning that occurred prior to matriculation into the graduate degree program. Unless the institution structures the graduate learning experience, monitors that learning, and assesses learning achievements, graduate credit is not granted for learning experiences external to the students' formal graduate programs.

2.C.15 Graduate programs intended to prepare students for scholarship or artistic creation are characterized by a high level of expertise, originality, and critical analysis. In the visual, performing, and literary arts, scholarship may take the form of activities that express original concepts, interpretations, imagination, thoughts, or feelings. Graduate programs intended to prepare students for research are directed toward advancing the frontiers of knowledge by constructing and/or revising theories and creating or applying knowledge. Graduate programs intended to prepare students for professional practice are directed toward developing high levels of knowledge and performance skills directly related to effective practice within the profession.

8.

Continuing Education and Non-Credit Programs

2.C.16 Credit and non-credit continuing education programs and other special programs are compatible with the institution's mission and goals.

2.C.17 The institution maintains direct and sole responsibility for the academic quality of all aspects of its continuing education and special learning programs and courses. Continuing education and/or special learning activities, programs, or courses offered for academic credit are approved by the appropriate institutional body, monitored through established procedures with clearly-defined roles and responsibilities, and assessed with regard to student achievement. Faculty representing the disciplines and fields of work are appropriately involved in the planning and evaluation of the institution's continuing education and special learning activities.

2.C.18 The granting of credit or Continuing Education Units (CEUs) for continuing education courses and special learning activities is guided by generally accepted norms, based upon institutional mission and policy, consistent across the institution wherever located and however delivered, appropriate to the objectives of the course, and determined by student achievement of identified learning outcomes.

2.C.19 The institution maintains records which describe the number of courses and nature of learning provided through non-credit instruction.

2.D Student Support Resources

2.D.1 Consistent with the nature of its educational programs and methods of delivery, the institution creates effective learning environments with appropriate programs and services to support student learning needs.

2.D.2 The institution makes adequate provision for the safety and security of its students and their property at all locations where it offers programs and services. Crime statistics, campus security policies, and other disclosures required under federal and state regulations are made available in accordance with those regulations.

2.D.3 Consistent with its mission and educational philosophy, the institution recruits and admits students qualified to complete its programs. It orients students to ensure they understand the requirements of their academic programs and receive timely, useful, and accurate information and advising about relevant academic requirements, including graduation and transfer policies.

2.D.4 In the event of program elimination or significant change in requirements, the institution makes appropriate arrangements to ensure that students enrolled in the program have an opportunity to complete their program in a timely manner with a minimum of disruption.

2.D.5 The institution publishes in a catalog, or posts on a website that is reasonably available to students and other stakeholders, current and accurate information that includes:
a. Institutional mission and core themes;
b. Entrance requirements and procedures;
c. Grading policy;
d. Information on academic programs and courses, including degree and program completion requirements, required course sequences, and projected timelines to completion based on normal student progress and the frequency of course offerings;
e. Names, titles, degrees held, and conferring institutions for administrators and full-time faculty;
f. Rules, regulations for conduct, rights, and responsibilities;
g. Tuition, fees, and other program costs;
h. Refund policies and procedures for students who withdraw from enrollment;
i. Opportunities and requirements for financial aid; and
j. Academic calendar.

2.D.6 Publications describing educational programs include accurate information on:
a. National and/or state legal eligibility requirements for licensure or entry into an occupation or profession for which education and training are offered;
b. Descriptions of unique requirements for employment and advancement in the occupation or profession.

2.D.7 The institution adopts and adheres to policies and procedures regarding the secure retention of student records, including provision for reliable and retrievable backup of those records, regardless of their form. The institution publishes and follows established policies for confidentiality and release of student records.

2.D.8 The institution provides an effective and accountable program of financial aid consistent with its mission, the needs of its students, and institutional resources. Information regarding the categories of financial assistance (scholarships, grants, and loans) is published and made available to both prospective and enrolled students.

2.D.9 Students who receive financial aid are informed of their loan repayment obligations. The institution regularly monitors its student loan programs and the institution's loan default rate.

2.D.10 The institution designs, maintains, and evaluates a systematic and effective program of academic advisement to support student development and success. Personnel responsible for advising students are knowledgeable of the curriculum, program requirements, and graduation requirements and are adequately prepared to successfully fulfill their responsibilities. Advising requirements and responsibilities are defined, published, and made available to students.

2.D.11 Co-curricular activities are consistent with the institution's mission, programs, and services, and governed appropriately.

2.D.12 If the institution operates auxiliary services, such as student housing, food service, and bookstore, they support the institution's mission, contribute to the intellectual climate of the campus community, and enhance the quality of the learning environment. Students, faculty, and staff have opportunities for input regarding these services.

2.D.13 Intercollegiate athletic programs, if offered, and related financial operations are consistent with the institution's mission and conducted with appropriate institutional oversight. Student athlete admission requirements and procedures, academic standards, degree requirements, and financial aid awards are consistent with those for non-athlete students.

2.E Library and Information Resources

2.E.1 Consistent with its mission and core themes, the institution holds or provides access to library and information resources with an appropriate level of currency, depth, and breadth to support the institution's programs and services wherever offered and however delivered.

2.E.2 Planning for library and information resources in support of the institution's programs and services involves affected users and appropriate library and information resources faculty, staff, and administrators.

2.E.3 The institution provides appropriate training and support opportunities for students, faculty, staff, and administrators to enhance their effectiveness and efficiency in obtaining, evaluating, and using library and information resources related to its programs and services, wherever offered and however delivered.

2.E.4 The institution regularly and systematically evaluates the quality, adequacy, utilization, and security of library and information resources and services, including those provided through cooperative arrangements, wherever offered and however delivered.

2.F Financial Resources

2.F.1 The institution demonstrates financial stability with sufficient cash flow and reserves to support its programs and services. Financial planning reflects available funds, realistic development of financial resources, and appropriate risk management to ensure short-term solvency and anticipate long-term obligations, including payment of future liabilities.

2.F.2 Resource planning and development include realistic budgeting, enrollment management, and responsible projections of grants, donations, and other non-tuition revenue sources.

2.F.3 The institution clearly defines and follows its policies, guidelines, and processes for financial planning and budget development that include appropriate opportunities for participation by its constituencies.

2.F.4 The institution ensures timely and accurate financial information through its use of an appropriate accounting system that follows generally accepted accounting principles and through its reliance on an effective system of internal controls.

2.F.5 Capital budgets reflect the institution's goals and objectives and relate to its plans for physical facilities and acquisition of equipment. Long-range capital plans support the institution's mission and goals and reflect projections of the total cost of ownership, equipment, furnishing, and operation of new or renovated facilities. Debt for capital outlay purposes is periodically reviewed, carefully controlled, and justified, so as not to create an unreasonable drain on resources available for educational purposes.

2.F.6 The institution defines the financial relationship between its general operations and its auxiliary enterprises, including any use of general operations funds to support auxiliary enterprises or the use of funds from auxiliary services to support general operations.

2.F.7 The institution undergoes an annual external financial audit of all funds by professionally qualified personnel in accordance with generally accepted auditing standards. Results from the audit, including findings and management letter recommendations, are considered in a timely, appropriate, and comprehensive manner by the administration and the governing board.

2.F.8 All institutional fundraising activities are conducted in a professional and ethical manner and comply with governmental requirements. If the institution has a relationship with a fundraising organization that bears its name and whose major purpose is to raise funds to support the mission of the institution, it has a clearly-defined written relationship with that organization.

2.G Physical and Technical Infrastructure

Physical Infrastructure

2.G.1 Consistent with its mission and educational philosophy, the institution creates and maintains physical facilities that are accessible, safe, secure, and sufficient in quantity and quality to ensure healthful learning and working environments that support the institution's mission, programs, and services.

2.G.2 The institution adopts, publishes, reviews regularly, and adheres to policies and procedures regarding the safe use, storage, and disposal of hazardous or toxic materials.

2.G.3 The institution develops, reviews regularly, and updates as necessary a master plan for campus physical development that is consistent with its mission and long-range educational and financial plans.

2.G.4 Equipment is sufficient in quantity and quality and managed appropriately to support institutional functions and fulfillment of the institution's mission, accomplishment of the objectives of its core themes, and achievement of the goals or intended outcomes of its programs and services.

Technical Infrastructure

2.G.5 Consistent with its mission and educational philosophy, the institution has appropriate and adequate technical systems and infrastructure to support its management and operational functions and to support its academic programs and support services wherever offered and however delivered.

2.G.6 The institution provides appropriate training and support for faculty, staff, students, and administrators in the effective use of technology and technical systems related to its programs, services, and institutional operations.

2.G.7 Technical infrastructure planning provides opportunities for input from technical support staff and constituencies who rely on technology for institutional operations, programs, and services.

Standard Three – Planning and Implementation

The institution engages in ongoing participatory planning that leads to fulfillment of its mission, accomplishment of the objectives of its core themes, and achievement of the identified goals or intended outcomes of its programs and services. It demonstrates that its planning processes are sufficiently flexible to address unexpected circumstances with the potential to impact the institution's capacity to accomplish its core theme objectives and fulfill its mission. The plans resulting from its planning processes reflect the interdependent nature of its operations, functions, and resources in achieving intended results. The institution demonstrates that its plans provide direction for the institution and influence practice, resource allocation; and application of institutional capacity.

3.A Institutional Planning

3.A.1 The institution engages in ongoing, purposeful, systematic, integrated, and comprehensive planning that leads to fulfillment of its mission. Planning results are made available to appropriate constituencies.

13

3.A.2 The institution's comprehensive planning process is broad-based and offers opportunities for input by appropriate constituencies.

3.A.3 The institution's comprehensive planning process is informed by the collection of appropriately-defined data that are analyzed and used to evaluate fulfillment of its mission.

3.A.4 The institution's comprehensive plan articulates priorities and guides decisions on resource allocation and application of institutional capacity.

3.A.5 The institution's planning includes emergency preparedness and contingency planning for continuity and recovery of operations should catastrophic events significantly interrupt normal institutional operations.

3.B Core Theme Planning

3.B.1 Core theme planning is consistent with the institution's comprehensive plan and guides the selection of, and planning for, programs and services to ensure they are aligned with and contribute to accomplishment of the objectives of the core theme.

3.B.2 Planning for programs and services is consistent with core theme planning and guides the selection of, and planning for, contributing components of those programs and services to ensure they are aligned with and contribute to achievement of the goals or intended outcomes of the programs and services.

3.B.3 Core theme planning is informed by the collection of appropriately-defined data that are analyzed and used to evaluate accomplishment of core theme objectives. Planning for programs and services is informed by the collection of appropriately-defined data that are used to evaluate achievement of the goals or intended outcomes of those programs and services.

Standard Four – Effectiveness and Improvement

The institution collects data related to clearly-defined indicators of achievement, analyzes those data, and forms evidence-based judgments of achievements of core theme objectives. It regularly and systematically applies clearly-defined evaluation procedures to appraise the relationship of institutional planning, resources, capacity, and practices to the objectives of its core themes; and assesses the extent to which it accomplishes those objectives and achieves the goals or intended outcomes of its programs and services. It uses assessment results to effect improvement and disseminates its findings to its constituencies.

4.A Assessment

4.A.1 The institution engages in ongoing systematic collection and analysis of relevant and meaningful data, related to its indicators of achievement, to evaluate accomplishment of the objectives of its core themes.

14

4.A.2 The institution engages in an effective system of evaluation of its programs and services, wherever offered and however delivered, to evaluate achievement of clearly-identified program goals or intended outcomes. Faculty have a primary role in the evaluation of educational programs and services.

4.A.3 The institution documents, through an effective, regular, and comprehensive system of assessment of student achievement, that students who complete its educational programs, wherever offered and however delivered, achieve identified course, program, and degree learning outcomes. Faculty with teaching responsibilities are responsible for evaluating student achievement of clearly-identified learning outcomes.

4.A.4 For each of its core themes, the institution evaluates holistically the alignment, correlation, and integration of programs and services with respect to accomplishment of core theme objectives.

4.A.5 For each of its programs and services, wherever offered and however delivered, the institution evaluates holistically the alignment, correlation, and integration of planning, resources, capacity, practices, and assessment with respect to achievement of the goals or intended outcomes of those programs or services.

4.A.6 The institution regularly reviews its assessment processes to ensure they appraise authentic achievements and yield meaningful results that lead to improvement.

4.B Improvement

4.B.1 Results of core theme assessments and results of assessments of programs and services are: 1) based on meaningful institutionally-identified indicators of achievement; 2) used for improvement by informing planning, decision-making, and allocation of resources and capacity; and 3) made available to appropriate constituencies in a timely manner.

4.B.2 The institution uses the results of its assessment of student learning to inform academic planning and practices that lead to enhancement of student learning achievements. Results of student learning assessments are made available to appropriate constituencies in a timely manner.

Standard Five – Mission Fulfillment, Adaptation, and Sustainability

Based on its definition of mission fulfillment and informed by the results of its analysis of accomplishments of its core theme objectives, the institution develops and publishes evidence-based judgments regarding fulfillment of its mission. It regularly monitors its internal and external environments to determine how and to what degree changing circumstances may impact the institution's mission and its ability to fulfill that mission. The institution demonstrates a capability to adapt as necessary its mission, core themes, programs, and services to accommodate changing and emerging needs, trends, and influences to ensure enduring institutional relevancy, productivity, sustainability, and viability.

5.A Mission Fulfillment

5.A.1 The institution engages in regular, systematic, and participatory self-reflective assessment of its accomplishments.

5.A.2 Within its definition of mission fulfillment, the institution uses evidence-based assessment results to make determinations of quality, effectiveness, and mission fulfillment and communicates its conclusions to appropriate constituencies and the public.

5.B Adaptation and Sustainability

5.B.1 The institution utilizes its governance system to review and revise as necessary its mission, core themes, core theme objectives, goals or intended outcomes of its programs and services, and indicators of achievement.

5.B.2 The institution documents and evaluates regularly its cycle of planning, practices, resource allocation, application of institutional capacity, and assessment of results to ensure the adequacy, alignment, and effectiveness of its component parts and uses the results to make changes, as necessary, for improvement.

5.B.3 Within the context of its mission and characteristics, the institution regularly evaluates the adequacy of its resources and capacity to ensure the ongoing potential to fulfill its mission, accomplish the objectives of its core themes, and achieve the goals or intended outcomes of its programs and services wherever offered and however delivered.

5.B.4 The institution monitors its internal and external environments to identify current and emerging trends, themes, and patterns. It uses its findings to review and revise, as necessary, its mission, its core theme objectives, and the goals or intended outcomes of its programs and services - wherever offered and however delivered. It assesses its strategic position, defines its future direction, and acts to assure an acceptable level of mission fulfillment and institutional stability, adaptability, and sustainability.

12.