FOR INFORMATION - REPORT
SIMON FRASER UNIVERSITY
MEAORANDUM

To.......... SENATE

Subject THE TWO-SEMESTER TIME LAG REQUIREMENT

From REGISTRAR AND SECRETARY OF SENATE

Date OCTOBER 16, 1975

At the meeting of Senate on October 6, 1975 request was made for the rationale for the time-lag requirement before offering of new courses.

Attached is a copy of report, SCUS 74-33, made to that body on July 23, 1974. Following discussion of this topic at several meetings, on September 9, 1974 the Senate Committee on Undergraduate Studies approved continuation of the existing regulations and practices.
encl.
HME/rn H. M. Evans

SENATE COMMITTEE ON UNDERGRADUATE STUDIES

TIME LAG REQUIREMENTS BEFORE FIRST Subiect_OFFERING APPROVED COURSES WAIVERS

From H. M. EVANS, SECRETARY
SENATE COMMITTEE ON UNDERGRADUATE
STUDTES
Dato_JULY 23, 1974

At the meeting of SCUS held June 25 there was discussion concerning the two-semester time lag requirement between the approval of a new course by Senate and its first offering in a semester. Although I was not in attendance at that meeting I understand that information is sought concerning the original approvals by Senate of this principle, the general reasons for its adoption, and some statistics on new courses not given waivers and those given waivers of this regulation.

## General Background

In the Fall of 1969 the President announced to the University community that a system of preregistration would be introduced as rapidly as possible. The Acting Vice-President, Academic presented to Senate at its meeeting of December 1969 Paper S.304, outlining a number of proposals relating to preregistration based on systems elsewhere. A copy of that paper is attached as Appendix $A$. Attention is drawn particularly to items IIIa, VI 3 and 4 , X , and the final note on page 4.

Although many modifications were adopted in the building of the preregistration, these principles remained basic to it. A Course Offerings booklet is issued each semester showing the offerings for the immediately approaching semester (supposedly very firm) and for each of the two succeeding semesters (somewhat less firm but designed to allow careful planning by the student, academic advisors, etc.). Originally changes in these could be made only by adding further courses in later printings to those announced and then normally only to the latter two semesters, except in extreme cases where deletions could be approved by the Vice-President, Academic. The Deans can now grant such approvals for the latter two semesters, and in exceptional cases for the immediately approaching semesters can request deletions although this last action creates difficulties. Students are requested to complete cards identifying for each of the three semesters the courses they propose to take from those listed. The accuracy of the vectors is impacted by the accuracy of listings.

In each semester a much more detailed Course Guide and Schedule is issued, at a time subsequent to the Course Offerings booklet. It contains very detailed information on all courses to be offered in the approaching semester, including groups, times,
rooms, instructors, etc. It is the essential document for a student to complete preregistration. It also contains updated information for the proposed offerings for the two subsequent semesters, to assist in student selection and academic advising. Any change made to delete a course (or group) for the approaching semester, or to add a course (or group) can have significant impact in the preregistration process. The adding of groups of a course designated to be taught can be beneficial in opening up spaces and flexibility. The adding of a course too late to be included in the guide can have serious impact on registrations in that course and on other courses, which often are detrimental. The deletion of courses or groups during the preregistration process catches students unaware, with frustrations arising from insufficient registration hours, impact on financial awards, etc.

Although requests are received from time to time to include schedules for courses not yet approved by Senate - with a note "Subject to approval" - the Registrar's Office is most reluctant to do this. Such statements leave students not knowing whether they will or will not be registered, with all attendant problems; and the impacts are not only in the course in question but on others. Priority timings in the preregistration process are critical.

The most serious difficulties arise when Senate approval is given to offer a course but with approval too late for the offering and schedule to appear in Course Guide. This has been very infrequent, but invariably when done has presented problems. The late announcement can impact one or more other courses for which the student preregistered and no changes can be made until start of term. It is strongly recommended that this action be approved only in exceptional circumstances.

Difficulties arise when approval is given just in sufficient time for schedules to appear in Course Guide. Schedules must be completed and addenda items hand-inserted in the Guide. This is inefficient, costly, error-generating, and frustrating to staff and students. It can be done, but with difficulty. It is recommended that this action be approved only when it is clear that significant hardship would otherwise arise.

Attached as Appendix $B$ is a tabulation showing the manner in which approvals can be most effectively made. It identifies the reasons for the normal two-semester lead time. Courses must clear departmental and faculty committees, SCUS, and Senate before any agency can fully know the nature of approval given and whether a course may be offered. Using the Spring semester as an example, material for the Course Offerings booklet is needed by the Registrar's Office near the beginning of September and for the Course Guide and Schedule at the end of September/beginning of October. If Departments are carrying out planning for course offerings and faculty utilization it seems desirable that courses approved be known by the end of April - i.e. two semesters in advance. The firm data can be consolidated during the summer or earlier and submitted for inclusion in the Course Offerings booklet. If planning were left to the last
moment courses might be approved as late as the August meeting (lst week of August) and be submitted by the deadline. Courses approved at the September meeting could not normally meet the Offerings booklet deadline. Obviously if Senate did not meet in August problems could arise. There is always the danger of delay in clearing committees.

The far more direct critical period for Students and departments is that for the Course Guide and Schedule for the approaching semester. Using the Spring semester as an example; the Course Offerings booklet data may have been late and therefore inaccurate, or incomplete, with consequent impact on general planning. But if this data is late or incomplete for the immediately approaching schedule, the impact is far greater. A course approved at the early September meeting can get into the Spring schedule but not the earlier offering booklet. A course approved at the October meeting may or may not be able to be included in the Spring schedule. It presents significant problems.

Under difficult conditions, therefore, it has been practice to accept late approvals, particularly where there has been undue delay in processing requests through the committee series. Those items approved approximately one full semester in advance can make the Course Guide and Schedule, albeit with some difficulty, but not the Course Offerings booklet. Those approved two semesters in advance can make both, and with some opportunity for overall planning.

This office would recommend retention of the normal two semester time lag requirement, with provision for waivers where these are clearly established as being in the overall interests of students, departments, and the University - but with the exercise of considerable caution in the granting of walvers where approval by Senate is likely to be given less than one full semester in advance of the first offering of the course.

Attached as Appendix $C$ is a table of statistics. Members are reminded of the motion covering early submissions following the motions adopted in December 1969.

Although comments are made from time to time that there are more waivers than non-waivers, this is clearly not supported by the facts. Of 362 cases, 231 have followed the normal two semester rule, 26 have followed the special rule when the current regulations were introduced, 97 have had some form of waiver and 8 are in a doubtful category. Slightly more than one-quarter have had waiver conditions. Of the 97 given formal waiver, there were 13 which were within a few days of not requiring a waiver; the items of this group were approved at the first Senate meeting beyond the two semester rule, usually within 5-10 days. A relatively large group of the 97 was related to introduction of the program in Computing Science, a program in which there had been significant delay; a number were related to the programs in Kinesiology, with attendant delays in various reviews; the most recent approvals were for four courses in Political Science and

Sociology/Anthropology as a result of understandable delays in overall program development and approval.

The Registrar's Office technical staff obviously would prefer no waivers if reasonable operational conditions could accommodate this. Failing this, it would prefer waivers of not less than a one full-semester approval prior to the course offering, other than in very exceptional circumstances. Our technical staff find no objection to the principle of waivers under reasonable conditions but are cognizant of and fully involved in problems which arise particularly for students and would greatly prefer advanced planning which might keep these to a reasonable and clearly defensible minimum, with improved servicing and increased satisfaction arising therefrom.

HME: rn

# Arpendix A <br> SIMON FRASER UNIVERSITY <br> MEMORANDUM 

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Tr To..............................................

Subject....... Pre-registration.

## From L.M.Srivastava

Acting Vice-President, Academic
Date.. November 21, 1969
I. We have been in consultation with a local computing firm with expertise in pre-registration at two American Universities. We would like to adapt this sytem to our needs and institute computerized pre-registration beginning Surmer 1970.

A brief description of the proposed system and an estimate of time necessary for pre-registration are included. It is also indicated what the system can do and what the conditions are that must be satisfied before the system becomes a reality. If senate and Departments cannot meet these minimal conditions we will drop the idea of preregistration. We think the project has merit, it can be adapted to our system with minimal conditions, and over the long run (that is within 2-3 semesters) the system will have paid for itself and our registration costs per student will be considerably lower than at present. It has numerous advantages for students, faculty, and administration.

The pre-registration system was first implemented at the University of Utah in the Winter quarter 1967 and since then has been used without fundamental change to its design. The Iniversity of Utah has approximately 18,000 students and about 800 different course offerings each quarter. It was instituted at Virginia Polytechnic Institute in the Winter of 1968 , without modification, and the system has been in use there ever since. The Utah pre-registration can be implemented at S.F.U. The designers of the Utah system are at present working with a computing firm in Vancouver. They are available for consultation with our Data Centre and Registrar's Office at a minimal cost.
III. Operation of the preregistration system:
a. The system asks the students to provide two types of information on appropriate cards: courses that they want in the next semester and blocks of time they want to keep free from formal instruction. Naturally if a student blocks out, say, all afternoons as free time, his chances of getting proper course fit are lessened.

Before students fill out this information they are provided with a Course Guide which contains the following information:
i. For the semester imnediately following:
a. all courses and only those courses that are to be given next semester,
b. names of faculty members in charge of these courses, and
c. timetable with courses, sections, time and place.
ii. For two semesters after the one immediately following -
a. all courses and only those courses that are to be given in each of the two semesters, and
b. names of faculty members in charge of the courses.
b. The cards can be collected in or mailed to the Registrar's Office, or they can be dropped in assigned boxes.
c. This information is put on punch cards.
d. The computer then schedules the students taking into account their course preferences and requests for free time. It edits errors. It can be programmed to give preferences to, for example, seniors over juniors, or graduate over undergraduate students etc.
e. A printout is produced which indicates the students' course selections by course, section, time and place. The departments can then review the student demand, close unused sections or open additional sections. This is an administrative function and the student is not put to any trouble. A second run is produced from the revised data and course registrations are produced for mailing to the students.
f. Students whose requests have not been satisfied or who have failed a course and cannot take the one they asked for appear at a registration 'clean up day' one or two days prior to the start of the new semester.
IV. Estimated time for pre-registration:

1. Students would require at least two weeks for filling out their cards. Some may require more time. During this period they must get adequate counselling especially in regard to prerequisites. Students can certainly start as early as they want.
2. The Registrar's office must have the students' course preference and free time cards at least three weeks before the end of a semester.
3. Cards are keypunched, preliminary and final runs are made and registration notices are mailed to students all within two weeks. Student is informed of the registration about one week before the beginning of the semester.
V. At the University of Utah and Virginia Polytechnic Lnstitute the success experienced in satisfying student requests has been as follows:
a. $65 \%$ of students have had all the requests, including free time requests, satisfied.
b. $90 \%$ of students were able to registur in all courses requested.
c. $97 \%$ of rotal course requeses were satisfied.
VI. Minimal conditions necessary to make the system operative:
4. The success of the pre-registration assumes that a large majority of the students will participate. This must include new students as well as transferring students, and re-admitted students. Accordingly, it should be mandatory for all students to pre-register.
5. A firm adherence by students to time as far as collection of course preference and free time cards is concerned.
6. The participating students, in order to have valid schedules, must not have conditions arise between registration and the beginning of the semester that will cause their schedules to change. Accordingly there must be firm commitments by departments on courses offered and faculty assignments for a period of three semesters at a time.
7. To facilitate item 3 above, a ruling by Senate that courses approved by Senate shall not be off red for at least two complete semesters following such approval by Senate.
8. The magnitude of the 'clean-up' day must be minimized. If the cost of clean-up approaches the cost of manual registration then the system cannot be regarded as economic. Accordingly there must be a clear identification of pre-requisites by departments and faculties, and there must be an improved pre-registration counselling.
VII. Senate may further consider the pessibility that a student pays a portion of his tutition fee at the time of pre-registration.
VIII. Advantages of pre-registration:
9. To the students:
a. an opportunity to plan his work schedule prior to the start of the semester,
b. an extension of his working period on off semesters, because he can register by mail,
c. a reduced risk of not being able to obtain the courses desired, and
d. provision for selection of courses in a less hectic environment than that presently existing at registration.
10. To the faculty and administration:
a. an opportunity to allocate teaching resources more effectively,
b. rapid and economical processing of students' course enrollments,
c. Less course time losses, and an opportunity to begin tutorials in the first week, if desired, and
d. more useful information regarding course selection patterns and
student demand

If Senate agrees with the principle of preregistration we will immediately undertake to prepare a system for our needs in consultation with the computing firm, our own Data Centre and Registrar's Office, and will plan to implement this system for Summer 1970.
X.
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The Senate is requested to adopt two motions:

1. "Senate agrees with the principle of preregistration".
2. "Courses approved by Senate shall not be offered for at least two complete semesters following such approval by Senate".

At its meeting of December 1, 1969, Senate approved Motion 1 above. It also approved Motion 2 with the following note added:
"Since this policy is a major departure from existing policies, courses and programs brought before Senate between now and the May 1970 meeting of Senate will not be included in the restriction providing at least one semester has elapsed between approval by Senate and the offering of the courses."

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Number of courses approved between December 1, 1969and June 3, 1974362
Number subject to Motion 2 plus note - S. 304 ..... 26
Number subject to formal waiver motion or facsimile ..... 97
No formal waiver recorded in minutes ..... 8
Number for which rule has been observed ..... 231Of 97,13 were within a few days of not requiring waiver.Of 97, several arose from delayed program approval(c.f. Computing Sc.,Kinesiology, P, S/A (4).


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| Communication Studies 498－18 | 6／11／72 |  | 74－1 |
| rCoumunication Studies 499－15 | 6／11／72 |  | 73－3 |
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| $\checkmark$ Computing Science 100－3 | 2／10／72 |  | 73－1＊！リ！ |
| －Computing Science 102－2 | 2／10／72 |  | 73－2＊がい！！－ |
| $\checkmark$ Computing Science 103－3 | 6／5／74 | Had | 74－3＊$\because \therefore$ |
| －Computing Science 104－1 | 6／5／74 | pres ooma | 74－3＊ |
| Computing Science 118－3 | 7／5／73 |  | 73－3＊ハ！！ |
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| Computing Science 260－3 | 7／5／73 |  | 74－1＊ |
| Computing Science 280－3 | 7／5／73 |  | 75－3 |
| Computing Science 283－3 | 7／5／73 |  | 76－1 |
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| Computing Science 291－3 | 7／5／73 |  | 1974＊ |
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| Computing Science 350－3 | 7／5／73 |  | 76－3 |
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| Computing Science 360－3 | 7／5／73 |  | 1975 |
| Computing Science 362－4 | 7／5／73 |  | 74－2． |
| Computing Science 370－3 | 7／5／73 | ． | 74－－3 |
| Computing Science 371－3 | 7／5／73 |  | 75－1 |
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| Computing Science 418－3 | 7／5／73 |  | 74－3 |
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