TO: Ms. Alison Watt, Director
   University Secretariat

FROM: Bruce Whittlesea, Chair
       Research Ethics Board (REB)

RE: Annual REB Senate Report
    2005/2006

DATE: September 25, 2006

Dear Ms. Watt;

In accordance with Policy R20.01, “Ethics Review of Research Involving Human Subjects”, Section 3.6, I am submitting, on behalf of the Research Ethics Board, the Annual Report to Senate. The report spans the time frame September 1, 2005 to August 31, 2006.

Sincerely,

Bruce Whittlesea

Enclosure

/bjr
Regular meetings are held on the third Monday of each month. The REB (Research Ethics Board) Web site is located at:

http://www.sfu.ca/vp-research/ethics/index.htm

1. Current Members

<table>
<thead>
<tr>
<th>Elected by/from Faculty</th>
<th>Term of Expiry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maxwell Donelan</td>
<td>Applied Sciences May 31, 2007</td>
</tr>
<tr>
<td>John McDonald</td>
<td>Arts and Social Sciences May 31, 2007</td>
</tr>
<tr>
<td>Bruce Whittlesea (Chair)</td>
<td>Arts and Social Sciences May 31, 2007</td>
</tr>
<tr>
<td>Steven Kates</td>
<td>Business May 31, 2007</td>
</tr>
<tr>
<td>Margaret MacDonald</td>
<td>Education May 31, 2007</td>
</tr>
<tr>
<td>Joan Hu</td>
<td>Science May 31, 2007</td>
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<tr>
<td>Julian Somers</td>
<td>Health Sciences May 31, 2007</td>
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Elected by Senate from the University Community

<table>
<thead>
<tr>
<th>Name</th>
<th>Term of Expiry</th>
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<tbody>
<tr>
<td>Sandra Wong</td>
<td>Library Reference Division May 31, 2007</td>
</tr>
<tr>
<td>Maureen Hoskyn (Vice-Chair)</td>
<td>Faculty of Education May 31, 2008</td>
</tr>
<tr>
<td>Felix Breden</td>
<td>Biological Sciences May 31, 2008</td>
</tr>
</tbody>
</table>

Student Member Elected by Senate

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<thead>
<tr>
<th>Name</th>
<th>Term of Expiry</th>
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<tbody>
<tr>
<td>Sasha Uhlmann</td>
<td>Health Sciences May 31, 2007</td>
</tr>
</tbody>
</table>

Elected by Senate from Community Outside the University

<table>
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<tr>
<th>Name</th>
<th>Term of Expiry</th>
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<tbody>
<tr>
<td>Margit Nance</td>
<td>May 31, 2007</td>
</tr>
<tr>
<td>Bill Melville</td>
<td>May 31, 2007</td>
</tr>
</tbody>
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The Following Members resigned from the REB during the year

Charles Krieger
Lynne Quarmby
Erica Halpern
Adrienne Drobnies

Office of Research Ethics
Hal Weinberg, Director, Ex-officio (non-voting)
Barb Ralph, Ethics Officer
Janet Yule, Ethics Assistant
Amanda Shaw, Office Assistant
2. Minimal Risk Applications Approved by the REB September 1, 2005 to August 31, 2006

Faculty - 158  
Graduate Students - 169  
Undergraduate Students - 44  
Post Doctoral - 6  
Staff - 5  
Other - 7 (visiting, adjuncts, research assistants)

3. Non-Minimal Risk Applications Approved by the REB September 1, 2005 to August 31, 2006

Post doctoral - 1; graduate students – 4

4. Courses Approved by the REB under Policy R20.01

The REB approved 31 course applications from September 1, 2005 to August 31, 2006

5. Internal Policies Adopted by the REB

i) The DORE will review and approve minimal risk amendments to non-minimal risk applications. These applications will not have to be brought back to the REB for ratification.

ii) Minimal risk retroactive applications are approved by the DORE and ratified by the REB.

iii) Once course applications are approved, they are no longer considered confidential.

iv) The Office of Research Ethics is directed to send one “warning” email to the applicant (supervisor and student), one month after initial request for initiation of the application without progress on its completion. If after three months there is no response and the application remains incomplete, the application shall be deleted.

v) Adverse Events form approved.
vi) Protocol is deemed to be active while the data is being gathered.

vii) The REB considers the student researcher of every thesis conducted at Simon Fraser University to be the Principal Investigator of the thesis project, and therefore requires that the PI for each thesis project request ethics approval, if the project includes human research. (May 15/06)

viii) Applications that are considered by the Director of the Office of Research Ethics to utilize or involve medical procedures, or which may have medical implications, will be sent to the Director of the Office of Research Ethics (DORE) with a request that the DORE ask one of the persons shown below, or others at his/her discretion who is a licensed MD to:

(a) Designate the protocol as Minimal Risk, or
(b) Designate the protocol as Non-Minimal Risk, or
(c) Designate the protocol as one that needs external or internal review, and suggest who might be approached to do the review.

It was agreed that the following may be included in the group of MDs who will assume the responsibility. Dr. David MacLean has confirmed this policy.

Dr. Elliott Goldner
Dr. David MacLean
Dr. Tim Takaro
Dr. Charlotte Waddell

For Information